

Definability in  
First Order Logic  
and  
Second Order Logic

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Vocabularies

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We deal with (possibly many-sorted) relational structures.

Sort symbols are

$$U_\alpha : \alpha \in \text{IN}$$

Relation symbols are

$$R_{i,\alpha} : i \in Ar, \alpha \in \text{IN}$$

where  $Ar$  is a set of *arities*, i.e. of finite sequences of sort symbols.

In the case of one-sorted vocabularies, the arity is just of the form  $\langle U, U, \dots, n, \dots, U \rangle$  which will be denoted by  $n$ .

A **vocabulary** is a *finite* set of *finitary relation symbols*, usually denoted by  $\tau$ ,  $\tau_i$  or  $\sigma$ .

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$\tau$ -structures

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**Graphs:**  $\langle V; E \rangle$  with vertices as domain and edges as relation.

$\langle V \sqcup E, R_G \rangle$  with two sorted domain of vertices and edges and incidence relation.

**Labeled Graphs:** As graphs but with unary predicates for vertex labels and edge labels depending whether edges are elements or tuples.

**Binary Words:**  $\langle V; R_<, P_0 \rangle$  with domain linearly ordered by  $R_<$  and colored by  $P_0$ , marking the zero's.

**$\tau$ -structures:** General relational structures.

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Properties of a  $\tau$ -structure

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A **property** of  $\tau$ -structures is a class  $\mathcal{P}$  of  $\tau$ -structures closed under  $\tau$ -isomorphisms.

- All *finite*  $\tau$ -structures.
- All  $\{R_{2,0}\}$ -structures where  $R_{2,0}$  is interpreted as a linear order.
- All finite 3-dimensional matchings  $3DM$ , i.e. all  $\{R_{3,0}\}$ -structures with universe  $A$  where the interpretation of  $R_{3,0}$  contains a subset  $M \subseteq A^3$  such that no two triples of  $M$  agree in any coordinate.
- All binary words which are palindroms.

A  $\tau$ -structure  $\mathcal{A}$  has property  $\mathcal{P}$  iff  $\mathcal{A} \in \mathcal{P}$ .

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Additionally we have

**Variables:**  $X, Y, Z, \dots$

ranging over subsets of  $V$ .

**Atomic formulas:**  $u \in X, v \in Y, \dots$

**Quantifiers:**  $\forall X, \exists X$ .

**Theorem:**[Büchi, Trakhtenbrot, 1961]

A class of binary words is:

recognizable by a finite

(non-deterministic) automaton

iff it is  $MSOL$ -definable

(iff it is regular).

Example:  $(101 \vee 1001)^*$

101 1001 101 101 1001 1001 101.....

**Exercise:** Find the  $MSOL$ -formula.

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First Order Logic  $FOL(\tau)$ :

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For structures of the form  $\mathcal{A} = \langle V, R_1^V, \dots, R_M^V \rangle$   
and  $\tau = \{R_1^V, \dots, R_M^V\}$

**Variables:**  $u, v, w, \dots$  ranging over elements of the domain  $V$ .

$R_j$  a  $\rho(j)$ -ary relation symbol whose interpretation is  $R_j^V$ .

**Atomic formulas:**  $R_j(\vec{u}), u = v$ .

**Connectives:**  $\wedge, \vee, \neg,$

**Quantifiers:**  $\forall v, \exists v$

Second Order Logic  
 $SOL^n(\tau)$  and  $SOL(\tau)$ :

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We extend  $MSOL(\tau)$  by the following features:

**Variables:**  $X^m, Y^m, Z^m, \dots$  for  $m \leq n$

**Atomic formulas:**  $(u_1, \dots, u_m) \in X^m, \dots$

**Quantifiers:**  $\forall X^m, \exists X^m$ .

$$SOL = \bigcup_n SOL^n$$


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Clearly we have in expressing power (and syntactically)

$$MSOL(\tau) \subseteq SOL^2(\tau) \subseteq SOL(\tau)$$

In  $SOL^2$  we can quantify over **arbitrary sets of pairs of vertices**,

**Definition 1 ( $\mathcal{L}(\tau)$ -Definability)**

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Recall that an  $\mathcal{L}(\tau)$ -sentence is an  $\mathcal{L}(\tau)$ -formula without free variables.

Given a regular logic  $\mathcal{L}$  and a class of  $\tau$ -structures  $K$ , we say that  $K$  is  $\mathcal{L}(\tau)$ -definable if there is a  $\mathcal{L}(\tau)$ -sentence  $\theta$  such that for every  $\tau$ -structure  $\mathcal{A}$

$$\mathcal{A} \models \theta \text{ iff } \mathcal{A} \in K.$$

We write  $Mod_{\mathcal{L}(\tau)}(\theta)$  for the class of  $\tau$ -structures  $\mathcal{A}$  such that  $\mathcal{A} \models \theta$ .

The class of  $\tau$ -structures of finite even cardinality,  $EVEN(\tau)$ , is definable in Second Order Logic:

- Let  $\tau_1 = \{R, S, P\}$  with  $R, S$  binary and  $P$  unary, none of them in  $\tau$ .
- We write a  $FOL(\tau_1)$ -formula  $\phi_{bij}(R, P)$  which says that  $R$  is a bijection between  $P$  and its complement.
- We write a  $FOL(\tau_1)$ -formula  $\psi_{inj}(S)$  which says that  $S$  is a proper injection of the domain into itself.

- Now the required formula is

$$\exists R \exists P \phi_{bij}(R, P) \wedge \forall S \neg \psi_{inj}(S)$$

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A graph  $G$  is a **cograph** if and only if there is no induced subgraph of  $G$  isomorphic to a  $P_4$ .

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### $P_4$ -sparse Graphs

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A  $G$  is  $P_4$ -**sparse** if no set of 5 vertices induced more than one  $P_4$  in  $G$ .

Cliques and Cographs are  $P_4$ -sparse.

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Recall

### Example 2 (3 Colorability)

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The class of 3-colorable graphs is definable by a formula of Monadic Second Order Logic:

$$\exists X_1, X_2, X_3 \phi_{partition}(X_1, X_2, X_3) \wedge \bigwedge_{i=1}^3 \phi_{color}(X_i)$$

where

- $\phi_{partition}(X_1, X_2, X_3)$  says that  $X_1, X_2, X_3$  form a partition of the vertices and
- $\phi_{color}(X_i)$  says that there are no edges between two vertices in  $X_i$ .

Note that all the second order variables are unary and  $\phi_{partition}$  and  $\phi_{color}$  are first order formulas over  $\tau = \{E, X_1, X_2, X_3\}$ .

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## Expressibility: $FOL(\tau)$

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The following are  $FOL$ -definable on graphs:

- Cographs
- $P_4$ -sparse graphs
- Existence of prescribed (induced) subgraph  $H$ .
- Non-Existence of prescribed (induced) subgraph  $H$ .

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## Expressibility:

### $FOL(\tau)$ vs. $MSOL(\tau)$

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- A graph has bounded degree  $\leq k$  is  $FOL$ -expressible.
- A graph is regular of degree 17 is  $FOL$ -expressible.
- A graph is connected is not  $FOL$  expressible, but  $MSOL$  expressible by

$$\neg \exists X (\text{closed}(X) \wedge \exists v (v \notin X))$$

with

$$\text{closed}(X) = \forall v, w ((v \in X \wedge E(v, w)) \rightarrow w \in X)$$

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## Expressibility:

### $MSOL(\tau)$ - but not $FOL(\tau)$ -expressible

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- Chordal graphs are  $MSOL$ -definable
- Trees are cycle-free graphs.  
Trees are  $MSOL$ -definable
- Bipartite graphs are 2-colorable graphs.  
Bipartite graphs are  $MSOL$ -definable
- 3-Colorability is  $MSOL$ -expressible:
- There are vertex disjoint paths between the  $k$  pairs  $(x_1, y_1), \dots, (x_k, y_k)$  is  $MSOL$ -expressible.

We shall see in the next lecture that non of these are  $FOL$ definable.

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## Planar Graphs, revisited

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A **subdivision of an edge** is a path with now branching.

A **subdivision of a graph** is a graph obtained by replacing all the edges by some subdivisions thereof.

**Theorem** [Kuratowski 1930]:

A graph  $G$  is planar if and only if there is no induced subgraph of  $G$  isomorphic to a subdivision of  $K_5$  or  $K_{3,3}$ .

Use this to show:

**Proposition:**

Planarity is  $MSOL$  definable.

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For graphs  $G_2$  of the form  $\langle V \sqcup E, R \rangle$  with  $V$  set of vertices,  $E$  set of edges, and  $R \subseteq V \times E$  a binary relation expressing that  $v$  lies on the edge  $e$ .

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### Monadic Second Order Logic $MS_2$ :

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For graphs  $G_1$  of the form  $\langle V, E, \rangle$  with  $V$  set of vertices,  $E \subseteq V^2$  set of edges (as a binary relation).

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### Monadic Second Order Logic $MS_1$ :

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- Connectivity ( $MS_1$ )

- Planarity ( $MS_1$ )

- Perfect matching ( $MS_2$  but not  $MS_1$ )

- Hamiltonian cycle ( $MS_2$  but not  $MS_1$ )

The following are not even  $MS_2$ -definable:

- existence of a clique of size at least  $\frac{n}{2}$
- Eulerian graphs

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### Expressibility:

### $MSOL(\tau)$ vs. $SOL(\tau)$

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- The existence of a hamiltonian circuit is **not**  $MS_1$ -expressible but  $SOL^2$ -expressible for graphs  $G = (V, E)$ .

$$\exists X^2 (Edges(X^2) \wedge SimpleCircuit(X^2) \wedge AllVerticesIn(X^2))$$

- The existence of a clique which is at least half the size of the graph (HALF-CLIQUE) is **not**  $MSOL$ -expressible but  $SOL^2$ -expressible.
- The class of graphs which are disjoint unions of two isomorphic components is not  $MSOL$ -definable, but  $SOL$ -definable

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