

Computability and Definability

by

J.A. Makowsky

Department of Computer Science
Technion - Israel Institute of Technology
Haifa, Israel

janos@@cs.technion.ac.il
<http://cs.technion.ac.il/~janos>

Course given the Technion
Haifa, Israel
Spring Semester, 2001

1

PRELUDE

Outlook
References

2

CS 236 331:2001

Lecture 1

Computing devices

Machine:

Input \rightarrow Machine M \rightarrow Output

Finite Automaton

Turing Machine (with resource bounds)

Register Machine (with resource bounds)

Boolean and Algebraic Circuits

Transducer:

In-structure \rightarrow Machine T \rightarrow Out-structure

Acceptor:

Input \rightarrow Machine A \rightarrow $\{0, 1\}$

Counter:

Input \rightarrow Machine C \rightarrow \mathbb{N}

3

CS 236 331:2001

Lecture 1

Combinatorial algorithms, I

Acceptors:

Deciding properties of a graph

Connected, cycle-free, hamiltonian, 3-colorable

Graph \rightarrow Machine A \rightarrow $\{0, 1\}$

Transducers:

Finding configurations in a graph

Connected component, (hamiltonian) cycle, 3-coloring

Graph \rightarrow Machine A \rightarrow Graph

Counters:

Counting configurations in a graph

Connected components, (hamiltonian) cycles,

Graph \rightarrow Machine A \rightarrow \mathbb{N}

4

Input for Machines

For Finite Automata and Turing Machines the input is **coded** in (finite) words over some alphabet Σ .

For Boolean circuits the input is **coded** as Boolean vectors in $\cup_n \{0, 1\}^n$.

For Algebraic circuits over a field or ring \mathcal{R} , the input is **coded** as vector over $\cup_n \mathcal{R}^n$.

For Register Machines we may have specialized registers for specific data types, including words, natural numbers, real numbers, finite relations, etc.....

5

Combinatorial algorithms, II

We shall also look at machines

Evaluating cost functions in a graph

Size of connected components,
size or cost of hamiltonian cycles, cost of 3-coloring

Optimizing with respect to cost functions

size of largest connected component,
cost of cheapest hamiltonian cycle, etc

Here the input is a weighted graph with weights in some ring \mathcal{R} and the output may be a value in \mathcal{R} , a natural number or a boolean value.

The appropriate computation devices are in the non-uniform case

Valiant's model of algebraic circuits

or, in the uniform case,
the model **BSS** (due to Blum, Shub and Smale).

6

CS 236 331:2001

Lecture 1

Complexity theory

Each machine type uses resources:

- Computing time
- Number of gates
- Space on tape
- Number of auxiliary registers
- Content size of registers

Computability:

there is a machine which does the job

Complexity classes:

computability within certain
resource restrictions

7

CS 236 331:2001

Lecture 1

What do we compute ?

The machine M computes (accepts) "what it computes (accepts)".

The machine M accepts exactly the same inputs as the machine M' .

The machine M accepts exactly the inputs which have "property P ".

What are properties ?

8

Concrete graphs (in \mathbb{R}^3)

A **concrete** graph G is given by a finite set of *points* V in \mathbb{R}^3 and a finite set E of *ropes* linking two points v_1, v_2 . The ropes are continuous curves which do not intersect.

9

Plane graphs

A **plane** graph G is given by a finite set of *points* V in \mathbb{R}^2 and a finite set E of *arcs* linking two points v_1, v_2 . The arcs are continuous curves which do not intersect.

10

CS 236 331:2001

Lecture 1

Abstract graphs

An **abstract** graph G is given by a finite set of *vertices* V and a finite set E of *edges* linking two vertices v_1, v_2 .

Here $E \subseteq V^{(2)}$ where $V^{(2)}$ denotes the set of unordered pairs of elements of V .

$$V = \{1, \dots, 6\}$$

$$E = \left\{ \begin{array}{l} \{(1, 2), (2, 3), (3, 1)\} \cup \\ \{(4, 5), (5, 6), (6, 4)\} \cup \\ \{(1, 6), (6, 3), (3, 5), (5, 2), (2, 4), (4, 1)\} \end{array} \right.$$

11

CS 236 331:2001

Lecture 1

Graph properties

This graph is (*give definition*):

- 4-regular
- Chordal (triangulated)
- 3-colorable
- Eulerian
- Hamiltonian
- Planar

12

We say that v_1 and v_2 are neighbors if they are connected by a rope (arc, edge). We can write for this $E(v_1, v_2)$.

A graph is k -regular if every vertex has exactly k distinct neighbors. For $k = 4$ we can write

$$Diff(x_0, x_1, \dots, x_n) = \left(\bigwedge_{i < j \leq n} x_i \neq x_j \right) = (v_0 \neq v_1 \wedge v_0 \neq v_2 \wedge \dots \wedge v_{n-2} \neq v_{n-1} \wedge v_{n-1} \neq v_n)$$

$$\forall v_0 (\exists v_1 \exists v_2 \exists v_3 \exists v_4 (Diff(x_0, x_1, \dots, x_4) \rightarrow \wedge (E(v_0, v_1) \wedge E(v_0, v_2) \wedge E(v_0, v_3) \wedge E(v_0, v_4))) \wedge (\forall v_1 \forall v_2 \forall v_3 \forall v_4 \forall v_5 (Diff(x_0, x_1, \dots, x_5) \rightarrow \neg E(v_0, v_1) \vee \neg E(v_0, v_2) \vee \neg E(v_0, v_3) \vee \neg E(v_0, v_4) \vee \neg E(v_0, v_5))))$$

which is a well formed formula in **First Order Logic** in the vocabulary of abstract graphs with one binary relation symbol E .

A graph $G_1 = (V_1, E_1)$ is an **induced subgraph** of $G = (V, E)$ if $V_1 \subseteq V$ and $E_1 = E \cap V_1^2$.

A graph is a **simple cycle of length k** if it is of the form:

A graph G is **chordal** if there is no induced subgraph of G isomorphic to a simple cycle of length ≥ 4 .

Can we say this in First Order Logic ?

k -colorable graphs

A subset V_1 of a graph $G = (V, E)$ is **independent** if it induces a graph of points without neighbors (nor loops).

A graph is k -colorable if its vertices can be partitioned into k independent sets.

$$Part(X_1, X_2, X_3) = ((X_1 \cup X_2 \cup X_3 = V) \wedge ((X_1 \cap X_2) = (X_2 \cap X_3) = (X_3 \cap X_1) = \emptyset))$$

$$Ind(X) := (\forall v_1 \in X)(\forall v_2 \in X) \neg E(v_1, v_2)$$

With this 3-colorable can be expressed as $\exists X_1 \exists X_2 \exists X_3 (Part(X_1, X_2, X_3) \wedge Ind(X_1) \wedge Ind(X_2) \wedge Ind(X_3))$

We have expressed 3-colorability by a formula in **(Monadic) Second Order Logic**.

Can we express this in First Order Logic ?

Eulerian graphs

A graph $G = (V, E)$ is **Eulerian** if we can follow each rope exactly once, pass through all the ropes, and return to the point of departure.

Equivalently: Can we order all the edges of E

$$e_1, e_2, e_3, \dots, e_m$$

and choose beginning and end of th edge $e_i = (u_i, v_i)$ such that for all $i, v_i = u_{i+1}$ and $v_m = u_1$.

Theorem (Euler): A connected graph G is Eulerian iff each vertex has even degree.

How can we express this more conveniently ?

A graph $G_1 = (V_1, E_1)$ is a **subgraph** of $G = (V, E)$ if $V_1 \subseteq V$ and $E_1 \subseteq E \cap V_1^2$.
 G_1 is a **spanning subgraph** if $V_1 = V$.
 Recall G_1 is an induced subgraph if $E_1 = E \cap V_1^2$.

A graph with n vertices is Hamiltonian if it contains a spanning subgraph which is a cycle of size n .

We define formulas:

$Conn(V_1, E_1)$: (V_1, E_1) is connected.
 $Cycle(V_1, E_1)$: is a cycle.

Proposition: A graph is a cycle iff it is connected and each vertex has exactly two neighbors.

$$HAM := \exists V_1 \exists E_1 (Cycle(V_1, E_1) \wedge E_1 \subseteq E \wedge V_1 = V)$$

Two graphs $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ are **isomorphic** if there is a 1-1 function

$$f : V_1 \rightarrow V_2$$

such that for each pair $(u_1, v_1) \in V_1^2$ we have that

$$(u_1, v_1) \in E_1 \text{ iff } (f(u_1), f(v_1)) \in E_2$$

A graph is **planar** iff it is isomorphic to a plane graph.

This definition involves the geometry of the Euclidean plane.

How can we express planarity without geometry ?

Logics

- Propositional Logic
Atomic statements, Closure under **Boolean operations** $\wedge, \vee, \neg, \rightarrow, \leftrightarrow$
- Predicate Logic or First Order Logic, **FOL**
Relational language, quantification over **individual variables only**,
- Second Order Logic, **SOL**
Relational language, quantification over individual and **typed relation variables**,
- Monadic Second Order Logic, **MSOL**
Relational language, quantification over individual and **unary relation variables**,

Theme 1:

Can you say it
in
First Order,
Second Order or
Monadic Second Order
Logic ?

Complexity classes

L, NL, P, NP, PH, $\#P$, PSPACE

Büchi-Trachtenbrot (1961):
Regular languages = MSOL definable classes
of words.

Fagin-Christen (1974);
Class of graphs is in **NP** iff it is $\exists SOL$ definable.

Can we characterize other complexity classes,
such as **L, NL, P, PSPACE** ?

21

Definability vs. Computability

Can we use logical methods
to learn more about $P \stackrel{?}{=} NP$?

22

Complexity of *SOL*-properties

Fagin, Christen:

The **NP**-properties of classes of τ -structures
are exactly the $\exists SOL$ -definable properties.

Meyer, Stockmeyer:

The **PH**-properties (in the *polynomial hi-
erarchy*)
of classes of τ -structures are exactly the
SOL-definable properties.

Makowsky, Pnueli:

For every level Σ_n^P of **PH** there are *MSOL*-
definable classes which are complete for it.

23

HEX and Geography, I

HEX: Undirected graph G and two vertices s, t .
Players color alternately vertices in $V - \{s, t\}$
white and black respectively.
Player I tries to construct a white path from s
to t and Player II tries to prevent this.

HEX: The class of graphs which allow
a Winning Strategy for I.

Geography: Directed graph G . Players choose
alternately new edges starting at the end point
of the last chosen edge. The first who cannot
find such an edge has lost.

GEOGRAPHY: The class of graphs which
allow a Winning Strategy for I.

24

HEX and Geography, II

Even, Tarjan: HEX is **PSPACE**-complete.

Schaefer: GEOGRAPHY is **PSPACE**-complete.

Short versions: Fix $k \in \mathbb{N}$.

SHORT-HEX, SHORT-GEOGRAPHY asks whether Player I can win in k moves.

SHORT-HEX and SHORT-GEOGRAPHY are *FOL*-definable for fixed k (and therefore solvable in **P**).

25

Separating Complexity Classes, I

- HEX is *SOL*-definable iff $\mathbf{PSPACE} = \mathbf{PH}$.
- Every sentence $\phi \in \text{SOL}(\tau)$ is equivalent (over finite structures) to an existential sentence $\psi \in \text{SOL}(\tau)$ iff $\mathbf{NP} = \mathbf{CoNP}$.
Note we allow arbitrary arities of the quantified relation variables.
Over infinite structures this is known to be false (Rabin)
- If there is a $\phi \in \text{SOL}(\tau)$ which is **not** equivalent to an existential sentence, then $\mathbf{P} \neq \mathbf{NP}$.
And there should be such a sentence

26

CS 236 331:2001

Lecture 1

Separating Complexity Classes, II

Problem: Is there a logic for **P** ?

- Have to make precise what is a logic ?
- Over **ordered finite** structures, YES.
Immerman and Vardi: Fixed Point Logic
Graedel: Horn Fragment of *SOL*
- For structures without order this is one of the main open problems in Finite Model Theory

27

CS 236 331:2001

Lecture 1

Logical Methods: Model Theory

- **Definability :**
Can we say it?
- **Non-definability:**
Can we say that we cannot say it?
- **Inductivity:**
Can we pass from simple to complex structures?

28

References: Textbooks

[Pa94] C. Papadimitriou,
Computational Complexity,
Addison-Wesley 1994

[EF95] H.-D. Ebbinghaus and Jörg Flum,
Finite Model Theory,
Perspectives in Mathematical Logic,
Springer 1995, ISBN 3-540-60149-X

[Bo99] B. Bollobas,
Modern Graph Theory,
Graduate Texts in Mathematics, Springer 1999.

[Di97] R. Diestel,
Graph Theory,
Graduate Texts in Mathematics, Springer 1997.