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# The Tutte Polynomial

Graph Polynomials

238900 winter 05/06

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# The Rank Generation Polynomial

## Reminder

$$S(G; x, y) = \sum_{F \subseteq E(G)} x^{k\langle F \rangle - k\langle E \rangle} y^{n\langle F \rangle}$$

$k\langle F \rangle$  = Number of connected components in  $G(V, F)$

$$n\langle F \rangle = |F| - |V| + k\langle F \rangle$$

$$r\langle F \rangle = |V| - k\langle F \rangle$$

# The Rank Generation Polynomial

## Theorem 2

$$S(G; x, y) = \left\{ \begin{array}{ll} (x+1)S(G-e; x, y) & e \text{ is a bridge} \\ (y+1)S(G-e; x, y) & e \text{ is a loop} \\ S(G-e; x, y) + S(G/e; x, y) & \text{else} \end{array} \right\}$$

In addition,  $S(E_n; x, y) = 1$  for  $G(V, E)$  where  $|V|=n$  and  $|E|=0$

$G - e$  Is the graph obtained by omitting edge  $e$

$G / e$  Is the graph obtained by contracting edge  $e$

# The Rank Generation Polynomial

## Theorem 2 – Proof

Let us define :

$$G' = G - e$$

$$G'' = G / e$$

$$r' \langle G \rangle = r \langle G' \rangle$$

$$n' \langle G \rangle = n \langle G' \rangle$$

$$r'' \langle G \rangle = r \langle G'' \rangle$$

$$n'' \langle G \rangle = n \langle G'' \rangle$$

# The Rank Generation Polynomial

## Theorem 2 – Proof

Let us denote :

$$(F \cup e) \Rightarrow (F \cup \{e\})$$

$$(E - e) \Rightarrow (E - \{e\})$$

# The Rank Generation Polynomial

## Observations

$\forall e \in E, (F \subset E - e) \rightarrow$

$$r\langle F \rangle = r'\langle F \rangle$$

$$n\langle F \rangle = n'\langle F \rangle$$

$$r\langle E \rangle - r\langle F \cup e \rangle = r''\langle E - e \rangle - r''\langle F \rangle = \\ = r\langle G'' \rangle - r''\langle F \rangle$$

# The Rank Generation Polynomial

## Observations

$$\forall e \in E, \quad (F \subset E - e) \rightarrow$$

$$\left\{ \begin{array}{l} r\langle F \rangle = r'\langle F \rangle \\ n\langle F \rangle = n'\langle F \rangle \end{array} \right. \leftarrow \boxed{e \notin F}$$
$$\left\{ \begin{array}{l} r\langle E \rangle - r\langle F \cup e \rangle = r''\langle E - e \rangle - r''\langle F \rangle = \\ \qquad \qquad \qquad = r\langle G'' \rangle - r''\langle F \rangle \end{array} \right.$$

# The Rank Generation Polynomial

## Observations

$\forall e \in E, (F \subset E - e) \rightarrow$

$$\left\{ \begin{array}{l} r\langle F \rangle = r'\langle F \rangle \\ n\langle F \rangle = n'\langle F \rangle \end{array} \right.$$

$$k\langle E \rangle = k\langle E - e \rangle$$

$$k\langle F \cup e \rangle = k\langle F \rangle$$

$$\left. \begin{array}{l} r\langle E \rangle - r\langle F \cup e \rangle = r''\langle E - e \rangle - r''\langle F \rangle = \\ = r\langle G'' \rangle - r''\langle F \rangle \end{array} \right\}$$

$$\left\{ \begin{array}{l} |V| \Rightarrow |V| \quad \text{loop} \\ |V| \Rightarrow |V| - 1 \quad \text{else} \end{array} \right\}$$



# The Rank Generation Polynomial

## Observations

$$\forall e \in E, \quad (F \subset E - e) \rightarrow$$

$$\left\{ \begin{array}{l} r\langle F \rangle = r'\langle F \rangle \\ n\langle F \rangle = n'\langle F \rangle \\ \textcircled{3} \quad r\langle E \rangle - r\langle F \cup e \rangle = r''\langle E - e \rangle - r''\langle F \rangle = \\ \quad \boxed{G'' = (G')''} \longrightarrow = r\langle G'' \rangle - r''\langle F \rangle \end{array} \right.$$

# The Rank Generation Polynomial

## Observations

$$1 \quad r(E) = \begin{cases} r'(E - e) + 1 & e \text{ is a bridge} \\ r'(E - e) & \text{else} \end{cases}$$

$$2 \quad n(F \cup e) = \begin{cases} n''\langle F \rangle + 1 & e \text{ is a loop} \\ n''\langle F \rangle & \text{else} \end{cases}$$

$$|E| \Rightarrow |E| - 1$$

$$|V| \Rightarrow |V| - 1$$

$$k \Rightarrow k$$

$$|E| \Rightarrow |E| - 1$$

$$|V| \Rightarrow |V|$$

$$k \Rightarrow k$$

# The Rank Generation Polynomial

## Reminder

$$\begin{aligned} S(G; x, y) &= \sum_{F \subseteq E(G)} x^{k\langle F \rangle - k\langle E \rangle} y^{n\langle F \rangle} \\ &= \sum_{F \subseteq E(G)} x^{r\langle E \rangle - r\langle F \rangle} y^{n\langle F \rangle} \end{aligned}$$

# The Rank Generation Polynomial

Let us define

$$S(G; x, y) = S_0(G; x, y) + S_1(G; x, y)$$

$$S_0(G; x, y) = \sum_{F \subseteq E(G), e \notin F} x^{r\langle E \rangle - r\langle F \rangle} y^{n\langle F \rangle}$$

$$S_1(G; x, y) = \sum_{F \subseteq E(G), e \in F} x^{r\langle E \rangle - r\langle F \rangle} y^{n\langle F \rangle}$$

# The Rank Generation Polynomial

$$S_0(G; x, y) = \sum_{F \subseteq E(G), e \notin F} x^{r\langle E \rangle - r\langle F \rangle} y^{n\langle F \rangle} =$$

$$\sum_{F \subseteq E - e} x^{r\langle E \rangle - r\langle F \rangle} y^{n\langle F \rangle} =$$

$$\left\{ \begin{array}{l} \sum_{F \subseteq E(G')} x^{r'\langle E - e \rangle + 1 - r'\langle F \rangle} y^{n'\langle F \rangle} \quad e \text{ is a bridge} \\ \sum_{F \subseteq E(G')} x^{r'\langle E - e \rangle - r'\langle F \rangle} y^{n'\langle F \rangle} \quad \text{otherwise} \end{array} \right.$$

# The Rank Generation Polynomial

$$S_0(G; x, y) =$$

$$\left\{ \begin{array}{l} \sum_{F \subseteq E(G')} x^{r'\langle E-e \rangle + 1 - r'\langle F \rangle} y^{n'\langle F \rangle} \quad e \text{ is a bridge} \\ \sum_{F \subseteq E(G')} x^{r'\langle E-e \rangle - r'\langle F \rangle} y^{n'\langle F \rangle} \quad \text{otherwise} \end{array} \right\} =$$

$$\left\{ \begin{array}{l} xS(G - e; x, y) \quad e \text{ is a bridge} \\ S(G - e; x, y) \quad \text{otherwise} \end{array} \right\}$$

# The Rank Generation Polynomial

$$S_1(G; x, y) = \sum_{F \subseteq E(G), e \in F} x^{r\langle E \rangle - r\langle F \rangle} y^{n\langle F \rangle} =$$

$$\sum_{F \subseteq E - e} x^{r\langle E \rangle - r\langle F \cup e \rangle} y^{n\langle F \cup e \rangle} =$$

3

2

$$\left\{ \begin{array}{l} \sum_{F \subseteq E(G'')} x^{r\langle G'' \rangle - r''\langle F \rangle} y^{n''\langle F \rangle + 1} \\ \sum_{F \subseteq E(G'')} x^{r\langle G'' \rangle - r''\langle F \rangle} y^{n''\langle F \rangle} \end{array} \right\}$$

*e is a loop*

*otherwise*

# The Rank Generation Polynomial

$$S_1(G; x, y) =$$

$$\left\{ \begin{array}{l} \sum_{F \subseteq E(G'')} x^{r\langle G'' \rangle - r''\langle F \rangle} y^{n''\langle F \rangle + 1} \quad e \text{ is a loop} \\ \sum_{F \subseteq E(G'')} x^{r\langle G'' \rangle - r''\langle F \rangle} y^{n''\langle F \rangle} \quad \text{otherwise} \end{array} \right\} =$$

$$\left\{ \begin{array}{l} yS(G/e; x, y) \quad e \text{ is a loop} \\ S(G/e; x, y) \quad \text{otherwise} \end{array} \right\}$$



# The Rank Generation Polynomial

$$S(G; x, y) = S_0(G; x, y) + S_1(G; x, y) =$$

$$\left\{ \begin{array}{ll} xS(G - e; x, y) & e \text{ is a bridge} \\ S(G - e; x, y) & \text{otherwise} \end{array} \right\}$$

+

$$\left\{ \begin{array}{ll} yS(G / e; x, y) & e \text{ is a loop} \\ S(G / e; x, y) & \text{otherwise} \end{array} \right\}$$

# The Rank Generation Polynomial

$$S(G; x, y) = S_0(G; x, y) + S_1(G; x, y) =$$

$$\left\{ \begin{array}{ll} xS(G - e; x, y) + S(G / e; x, y) & e \text{ is a bridge} \\ S(G - e; x, y) + yS(G / e; x, y) & e \text{ is a loop} \\ S(G - e; x, y) + S(G / e; x, y) & \text{otherwise} \end{array} \right\}$$

# The Rank Generation Polynomial

$$S(G; x, y) = S_0(G; x, y) + S_1(G; x, y) =$$
$$\left\{ \begin{array}{ll} xS(G - e; x, y) + S(G / e; x, y) & e \text{ is a bridge} \\ S(G - e; x, y) + yS(G - e; x, y) & e \text{ is a loop} \\ S(G - e; x, y) + S(G / e; x, y) & \text{otherwise} \end{array} \right\}$$

Obvious

# The Rank Generation Polynomial

$$S(G; x, y) = S_0(G; x, y) + S_1(G; x, y) =$$

$$\left\{ \begin{array}{ll} xS(G - e; x, y) + S(G / e; x, y) & e \text{ is a bridge} \\ (y + 1)S(G - e; x, y) & e \text{ is a loop} \\ S(G - e; x, y) + S(G / e; x, y) & \text{otherwise} \end{array} \right\}$$

Obvious

# The Rank Generation Polynomial

$$S(G; x, y) = S_0(G; x, y) + S_1(G; x, y) =$$

$$\left\{ \begin{array}{ll} xS(G - e; x, y) + S(G - e; x, y) & e \text{ is a bridge} \\ (y + 1)S(G - e; x, y) & e \text{ is a loop} \\ S(G - e; x, y) + S(G / e; x, y) & \text{otherwise} \end{array} \right\}$$

$$\forall F \subseteq E - e \quad n'' \langle F \rangle = n' \langle F \rangle,$$

$$r'' \langle E - e \rangle - r'' \langle F \rangle = r' \langle E - e \rangle - r' \langle F \rangle$$

$$G' \Rightarrow G'' \quad |V| \Rightarrow |V| - 1 \quad k + 1 \Rightarrow k$$

# The Rank Generation Polynomial

$$S(G; x, y) = S_0(G; x, y) + S_1(G; x, y) =$$
$$\left\{ \begin{array}{ll} (x+1)S(G-e; x, y) & e \text{ is a bridge} \\ (y+1)S(G-e; x, y) & e \text{ is a loop} \\ S(G-e; x, y) + S(G/e; x, y) & \text{otherwise} \end{array} \right\}$$

Obvious

**Q.E.D**

# The Tutte Polynomial

$$T_G(x, y) = T(G; x, y) = S(G; x - 1, y - 1) =$$

$$\sum_{F \subseteq E} (x - 1)^{r(E) - r(F)} (y - 1)^{n(F)} =$$

$$\frac{1}{(x - 1)^{k(E)} (y - 1)^{|V(G)|}} \sum_{F \subseteq E(G)} ((x - 1)(y - 1))^{k(F)} (y - 1)^{|F|}$$

# The Tutte Polynomial

## Reminder

$$T(G; x, y) = \begin{cases} x \cdot T(G - e; x, y) & \text{if } e \text{ is a bridge} \\ y \cdot T(G - e; x, y) & \text{if } e \text{ is a loop} \\ T(G - e; x, y) + T(G/e; x, y) & \text{else} \end{cases}$$

4

$$T(E_n; x, y) = 1$$

5



# The Universal Tutte Polynomial

$$U(G; x, y, \alpha, \sigma, \tau) = \alpha^{k(G)} \sigma^{n(G)} \tau^{r(G)} T\left(G; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right)$$

# The Universal Tutte Polynomial

## Theorem 6

$$U(G; x, y, \alpha, \sigma, \tau) = \left. \begin{array}{l} x \cdot U(G - e; x, y) \quad e \text{ is a bridge} \\ y \cdot U(G - e; x, y) \quad e \text{ is a loop} \\ \sigma \cdot U(G - e; x, y) + \tau \cdot U(G / e; x, y) \text{ otherwise} \end{array} \right\}$$

$$U(E_n; x, y, \alpha, \sigma, \tau) = \alpha^n$$

# The Universal Tutte Polynomial

## Theorem 6 - Proof

$$U(E_n; x, y, \alpha, \sigma, \tau) =$$

$$\alpha^{|V|} \sigma^{0-|V|+|V|} \tau^{|V|-|V|} T\left(E_n; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right) =$$

$$\alpha^n \cdot 1 \cdot 1 \cdot 1 = \alpha^n$$

5

# The Universal Tutte Polynomial

## If $e$ is a bridge

$$(e \text{ is a bridge}) \rightarrow \begin{cases} k(G-e) = k(G)+1 \\ n(G-e) = n(G) \\ r(G-e) = r(G)-1 \end{cases}$$

$$U(G; x, y, \alpha, \sigma, \tau) =$$

$$\alpha^{k(G-e)-1} \sigma^{n(G-e)} \tau^{r(G-e)+1} \frac{\alpha x}{\tau} T\left(G-e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right)$$

# The Universal Tutte Polynomial

If  $e$  is a bridge

$$U(G; x, y, \alpha, \sigma, \tau) =$$

$$\alpha^{k(G-e)-1} \sigma^{n(G-e)} \tau^{r(G-e)+1} \frac{\alpha x}{\tau} T\left(G - e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right)$$

$$= \alpha^{k(G-e)} \sigma^{n(G-e)} \tau^{r(G-e)} x T\left(G - e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right) =$$

$$x U(G - e; x, y, \alpha, \sigma, \tau)$$

# The Universal Tutte Polynomial

## If $e$ is a loop

$$(e \text{ is a loop}) \rightarrow \begin{cases} k(G-e) = k(G) \\ n(G-e) = n(G) - 1 \\ r(G-e) = r(G) \end{cases}$$

$$U(G; x, y, \alpha, \sigma, \tau) =$$

$$\alpha^{k(G-e)} \sigma^{n(G-e)+1} \tau^{r(G-e)} \frac{y}{\sigma} T\left(G-e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right)$$

# The Universal Tutte Polynomial

If  $e$  is a bridge

$$U(G; x, y, \alpha, \sigma, \tau) =$$

$$\alpha^{k(G-e)} \sigma^{n(G-e)+1} \tau^{r(G-e)} \frac{y}{\sigma} T\left(G-e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right)$$

$$= \alpha^{k(G-e)} \sigma^{n(G-e)} \tau^{r(G-e)} y T\left(G-e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right) =$$

$$y U(G-e; x, y, \alpha, \sigma, \tau)$$

# The Universal Tutte Polynomial

## If $e$ is neither a loop nor a bridge

$$\left( \begin{array}{l} (e \text{ is a bridge}) \\ \vee \\ (e \text{ is a loop}) \end{array} \right) \rightarrow \left\{ \begin{array}{l} k(G - e) = k(G / e) = k(G) \\ n(G - e) = n(G) - 1 \\ n(G / e) = n(G) \\ r(G - e) = r(G) \\ r(G / e) = r(G) - 1 \end{array} \right.$$



# The Universal Tutte Polynomial

## If $e$ is neither a loop nor a bridge

$$U(G; x, y, \alpha, \sigma, \tau) =$$

$$\alpha^{k(G)} \sigma^{n(G)} \tau^{r(G)}.$$

$$\left[ T\left(G - e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right) + T\left(G / e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right) \right]$$

# The Universal Tutte Polynomial

If  $e$  is neither a loop nor a bridge

$$U(G; x, y, \alpha, \sigma, \tau) =$$

$$\alpha^{k(G-e)} \sigma^{n(G-e)+1} \tau^{r(G-e)} T\left(G - e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right) +$$

$$\alpha^{k(G/e)} \sigma^{n(G/e)} \tau^{r(G/e)+1} T\left(G / e; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right)$$

# The Universal Tutte Polynomial

If  $e$  is neither a loop nor a bridge

$$U(G; x, y, \alpha, \sigma, \tau) = \\ \sigma U(G - e; x, y, \alpha, \sigma, \tau) + \\ \tau U(G / e; x, y, \alpha, \sigma, \tau)$$

# The Universal Tutte Polynomial

## Theorem 6 - Proof

$$U(G; x, y, \alpha, \sigma, \tau) = \left\{ \begin{array}{ll} x \cdot U(G - e; x, y) & e \text{ is a bridge} \\ y \cdot U(G - e; x, y) & e \text{ is a loop} \\ \sigma \cdot U(G - e; x, y) + \tau \cdot U(G / e; x, y) & \text{otherwise} \end{array} \right\}$$

**Q.E.D**

# The Universal Tutte Polynomial

## Theorem 7

$$\forall V(G; x, y, \alpha, \sigma, \tau) \quad \text{s.t. } V(G; x, y, \alpha, \sigma, \tau) = \left. \begin{array}{l} x \cdot V(G - e; x, y) \quad e \text{ is a bridge} \\ y \cdot V(G - e; x, y) \quad e \text{ is a loop} \\ \sigma \cdot V(G - e; x, y) + \tau \cdot V(G / e; x, y) \text{ otherwise} \end{array} \right\}$$
$$V(E_n; x, y, \alpha, \sigma, \tau) = \alpha^n$$

**then**  $V(G; x, y, \alpha, \sigma, \tau) = U(G; x, y, \alpha, \sigma, \tau)$

# The Universal Tutte Polynomial

## Theorem 7 – proof

$$V(G; x, y, \alpha, \sigma, \tau) = \left\{ \begin{array}{ll} x \cdot V(G - e; x, y) & e \text{ is a bridge} \\ y \cdot V(G - e; x, y) & e \text{ is a loop} \\ \sigma \cdot V(G - e; x, y) + \tau \cdot V(G / e; x, y) & \text{otherwise} \end{array} \right\}$$

$V(G)$  is dependant entirely on  $V(G-e)$  and  $V(G/e)$ .  
In addition –  $V(E_n; x, y, \alpha, \sigma, \tau) = U(E_n; x, y, \alpha, \sigma, \tau)$

**Q.E.D**

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# Evaluations of the Tutte Polynomial

## Proposition 8

Let  $G$  be a connected graph.

$T(G,1,1)$  is the number of spanning trees of  $G$

Shown in the previous lecture

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# Evaluations of the Tutte Polynomial

## Proposition 8

Let  $G$  be a connected graph.

$T(G,1,2)$  is the number of connected spanning sub-graphs of  $G$

$$\begin{aligned} T(G;1,2) &= S(G;0,1) = \sum_{F \subseteq E(G)} 0^{k\langle F \rangle - k\langle E \rangle} 1^{n\langle F \rangle} \\ &= \sum_{(F \subseteq E(G)) \wedge (k(F) = k(E))} 1^{n\langle F \rangle} = \sum_{(F \subseteq E(G)) \wedge (k(F) = k(E))} 1 \end{aligned}$$



# Evaluations of the Tutte Polynomial

## Proposition 8

Let  $G$  be a connected graph.

$T(G,1,2)$  is the number of connected spanning sub-graphs of  $G$

$$T(G;1,2) = \sum_{(F \subseteq E(G)) \wedge (k(F) = k(E))} 1$$

*sub-graphs of  $G$*

*connected*

# Evaluations of the Tutte Polynomial

## Proposition 8

Let  $G$  be a connected graph.

$T(G, 2, 1)$  is the number of (edge sets forming) spanning forests of  $G$

$$T(G; 2, 1) = S(G; 1, 0) = \sum_{F \subseteq E(G)} 1^{k\langle F \rangle - k\langle E \rangle} 0^{n\langle F \rangle}$$

$$= \sum_{(F \subseteq E(G)) \wedge (n\langle F \rangle = 0)} 1$$

*Spanning forests* ←

# Evaluations of the Tutte Polynomial

## Proposition 8

Let  $G$  be a connected graph.

$T(G, 2, 2)$  is the number of spanning sub-graphs of  $G$

$$T(G; 2, 2) = S(G; 1, 1) = \sum_{F \subseteq E(G)} 1^{k\langle F \rangle - k\langle E \rangle} 1^{n\langle F \rangle}$$

$$= \sum_{F \subseteq E(G)} 1$$

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# The Universal Tutte Polynomial

## Theorem 9

The chromatic polynomial and the Tutte polynomial are related by the equation :

$$\chi(G; x) = (-1)^{r(G)} x^{k(G)} T(G; 1-x, 0)$$



# The Universal Tutte Polynomial

## Theorem 9 – proof

**Claim :**

$$\chi(G; x) = U\left(G, \frac{x-1}{x}, 0, x, 1, -1\right)$$

# The Universal Tutte Polynomial

## Theorem 9 – proof

**We must show that :**

$$\chi(E_n; x) = U\left(E_n, \frac{x-1}{x}, 0, x, 1, -1\right)$$

# The Universal Tutte Polynomial

## Theorem 9 – proof

and :

$$\chi\left(G; \frac{x-1}{x}\right) =$$

$$\left\{ \begin{array}{l} \frac{x-1}{x} \cdot \chi\left(G - e; \frac{x-1}{x}\right) \\ 0 \\ \chi\left(G - e; \frac{x-1}{x}\right) - \chi\left(G / e; \frac{x-1}{x}\right) \end{array} \right. \left. \begin{array}{l} e \text{ is a bridge} \\ e \text{ is a loop} \\ \text{otherwise} \end{array} \right\}$$

# The Universal Tutte Polynomial

## Theorem 9 – proof

$$\chi(E_n; x) = x^n \quad \leftarrow \text{Obvious. (empty graphs)}$$

$$U\left(E_n, \frac{x-1}{x}, 0, x, 1, -1\right) = \alpha^n = x^n$$

$$\chi(E_n; x) = U\left(E_n, \frac{x-1}{x}, 0, x, 1, -1\right)$$



# The Universal Tutte Polynomial

## Theorem 9 – proof

$$\forall (e \text{ loop}) \quad \chi(G; x) = 0 \quad \leftarrow \text{Obvious}$$

$$\forall (e \neg(\text{bridge} \vee \text{loop})) \quad \chi(G; x) = \chi(G - e; x) - \chi(G / e; x)$$

*Chromatic polynomial property*

$$\forall (e \text{ bridge}) \quad \chi(G; x) = \frac{x-1}{x} \chi(G - e; x)$$

*Chromatic polynomial property*

# The Universal Tutte Polynomial

## Theorem 9 – proof

Thus :

$$\chi\left(G; \frac{x-1}{x}\right) = \left\{ \begin{array}{l} \frac{x-1}{x} \cdot \chi\left(G - e; \frac{x-1}{x}\right) \quad e \text{ is a bridge} \\ 0 \quad e \text{ is a loop} \\ \chi\left(G - e; \frac{x-1}{x}\right) - \chi\left(G / e; \frac{x-1}{x}\right) \quad \text{otherwise} \end{array} \right\}$$

# The Universal Tutte Polynomial

## Theorem 9 – proof

And so, due to the uniqueness we shown in Theorem 7 :

$$\chi(G; x) = U\left(G, \frac{x-1}{x}, 0, x, 1, -1\right)$$

# The Universal Tutte Polynomial

## Theorem 9 – proof

Remembering that :

$$U(G; x, y, \alpha, \sigma, \tau) = \alpha^{k(G)} \sigma^{n(G)} \tau^{r(G)} T\left(G; \frac{\alpha x}{\tau}, \frac{y}{\sigma}\right)$$

# The Universal Tutte Polynomial

## Theorem 9 – proof

Remembering that :

$$\begin{aligned}\chi(G; x) &= U\left(G, \frac{x-1}{x}, 0, x, 1, -1\right) = \\ &x^{k(G)} 1^{n(G)} (-1)^{r(G)} T(G, -(x-1), 0) = \\ &x^{k(G)} (-1)^{r(G)} T(G, 1-x, 0)\end{aligned}$$

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**Q.E.D**

# Evaluations of the Tutte Polynomial

Let  $G$  be a connected graph.

$T(G, 2, 0)$  is the number of acyclic orientations of  $G$ .

We know that (Theorem 9) –

$$\chi(G; x) = (-1)^{r(G)} x^{k(G)} T(G; 1-x, 0)$$

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# Evaluations of the Tutte Polynomial

So –

$$T(G, 2, 0) = T(G, 1 - (-1), 0)$$

And thus –

$$T(G, 2, 0) = \frac{\chi(G; x)}{(-1)^{r\langle G \rangle} x^{k\langle G \rangle}} = \frac{\chi(G; -1)}{(-1)^{r\langle G \rangle + k\langle G \rangle = |V|}} =$$

$$(-1)^{-|V|} \chi(G; -1) = (-1)^{|V|} \chi(G; -1)$$

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# Acyclic Orientations of Graphs

Let  $G$  be a connected graph without loops or multiple edges.

An *orientation* of a graph is received after assigning a direction to each edge.

An orientation of a graph is *acyclic* if it does not contain any directed cycles.

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# Acyclic Orientations of Graphs

## Proposition 1.1

$\chi(G, x)$  is the number of pairs  $(\sigma, \nu)$  where  $\sigma$  is a map  $\sigma: V \rightarrow \{1, 2, 3, \dots, x\}$  and  $\nu$  is an orientation of  $G$ , subject to the following :

- The orientation is acyclic
- $((u \rightarrow v) \in \nu) \Rightarrow \sigma(u) > \sigma(v)$

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# Acyclic Orientations of Graphs

## Proof

The second condition forces the map to be a proper coloring.

The second condition is immediately implied from the first one.

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# Acyclic Orientations of Graphs

## Proof

Conversely, if the map is proper, then the second condition defines a unique acyclic orientation of  $G$ .

Hence, the number of allowed mappings is simply the number of proper coloring with  $x$  colors, which is by definition  $\chi(G, x)$

# Acyclic Orientations of Graphs

$\tilde{\chi}(G, x)$  be the number of pairs  $(\sigma, \nu)$  where  $\sigma$  is a map  $\sigma: V \rightarrow \{1, 2, 3, \dots, x\}$  and  $\nu$  is an orientation of  $G$ , subject to the following :

- The orientation is acyclic
- $((u \rightarrow v) \in \nu) \Rightarrow \sigma(u) \geq \sigma(v)$

# Acyclic Orientations of Graphs

## Theorem 1.2

$$\forall x \in N \quad \tilde{\chi}(G, x) = (-1)^{|V|} \chi(G, -x)$$

$$= T(G, 2, 0)$$

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## Proof

The chromatic polynomial is uniquely determined by the following :

$$\chi(G_0, x) = x \quad G_0 \text{ is the one vertex graph}$$

$$\chi(G + H, x) = \chi(G, x)\chi(H, x) \quad \text{Disjoint union}$$

$$\chi(G, x) = \chi(G - e, x) - \chi(G / e, x)$$

# Acyclic Orientations of Graphs

## Proof

We now have to show for the new polynomial :

*Obvious*

$\tilde{\chi}(G_0, x) = x$        $G_0$  is the one vertex graph

$\tilde{\chi}(G + H, x) = \tilde{\chi}(G, x)\tilde{\chi}(H, x)$       Disjoint union

$\tilde{\chi}(G, x) = \tilde{\chi}(G - e, x) - \tilde{\chi}(G / e, x)$

*Obvious*

# Acyclic Orientations of Graphs

## Proof

We need to show that :

$$\tilde{\chi}(G, x) = \tilde{\chi}(G - e, x) - \tilde{\chi}(G / e, x)$$

Let :

$$\sigma : V(G - e) \rightarrow \{1, 2, 3, \dots, x\}$$



# Acyclic Orientations of Graphs

## Proof

Let :

$$\sigma : V(G - e) \rightarrow \{1, 2, 3, \dots, x\}$$

Let  $\nu$  be an acyclic orientation of  $G - e$   
compatible with  $\sigma$

Let :  $e = \{u, v\}$

# Acyclic Orientations of Graphs

## Proof

Let  $\nu_1$  be an orientation of  $G$  after adding  $\{u \rightarrow v\}$  to  $\nu$

Let  $\nu_2$  be an orientation of  $G$  after adding  $\{v \rightarrow u\}$  to  $\nu$

# Acyclic Orientations of Graphs

## Proof

We will show that for each pair  $(\sigma, \nu)$  exactly one of the orientations  $(\nu_1, \nu_2)$  is acyclic and compatible with  $\sigma$ , except for  $\tilde{\chi}(G/e, x)$  of them, in which case both  $(\nu_1, \nu_2)$  are acyclic orientations compatible with  $\sigma$

# Acyclic Orientations of Graphs

## Proof

Once this is done, we will know that –

$$\tilde{\chi}(G, x) = \tilde{\chi}(G - e, x) - \tilde{\chi}(G / e, x)$$

due to the definition of  $\tilde{\chi}(G, x)$

# Acyclic Orientations of Graphs

## Proof

For each pair  $(\sigma, \nu)$  where -

$$\sigma : V(G - e) \rightarrow \{1, 2, 3, \dots, x\}$$

and  $\nu$  is an acyclic orientation compatible with  $\sigma$  one of these three scenarios must hold :

# Acyclic Orientations of Graphs

## Proof

Case 1 –

$$\sigma(u) > \sigma(v)$$

Clearly  $\nu_2$  is not compatible with  $\sigma$  while  $\nu_1$  is compatible. Moreover,  $\nu_1$  is acyclic :

$$u \rightarrow v \rightarrow w_1 \rightarrow w_2 \rightarrow \dots \rightarrow u$$

$$\sigma(u) > \sigma(v) \geq \sigma(w_1) \geq \sigma(w_2) \geq \dots \geq \sigma(u)$$

*Impossible cycle*

# Acyclic Orientations of Graphs

## Proof

Case 2 –

$$\sigma(u) < \sigma(v)$$

Clearly  $\nu_1$  is not compatible with  $\sigma$  while  $\nu_2$  is compatible. Moreover,  $\nu_2$  is acyclic :

$$v \rightarrow u \rightarrow w_1 \rightarrow w_2 \rightarrow \dots \rightarrow v$$

$$\sigma(v) > \sigma(u) \geq \sigma(w_1) \geq \sigma(w_2) \geq \dots \geq \sigma(v)$$

*Impossible cycle*

# Acyclic Orientations of Graphs

## Proof

Case 3 –

$$\sigma(u) = \sigma(v)$$

Both are compatible with  $\sigma$

At least one is also acyclic. Suppose not, then:

$\mathcal{U}_1$  contains  $u \rightarrow v \rightarrow w_1 \rightarrow w_2 \rightarrow \dots \rightarrow u$

$\mathcal{U}_2$  contains  $v \rightarrow u \rightarrow w_1' \rightarrow w_2' \rightarrow \dots \rightarrow v$

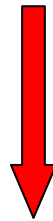


# Acyclic Orientations of Graphs

## Proof

$\mathcal{U}_1$  contains  $u \rightarrow v \rightarrow w_1 \rightarrow w_2 \rightarrow \dots \rightarrow u$

$\mathcal{U}_2$  contains  $v \rightarrow u \rightarrow w_1' \rightarrow w_2' \rightarrow \dots \rightarrow v$



$\mathcal{U}$  contains

$u \rightarrow w_1' \rightarrow \dots \rightarrow v \rightarrow w_1 \rightarrow \dots \rightarrow u$

*Impossible cycle*

# Acyclic Orientations of Graphs

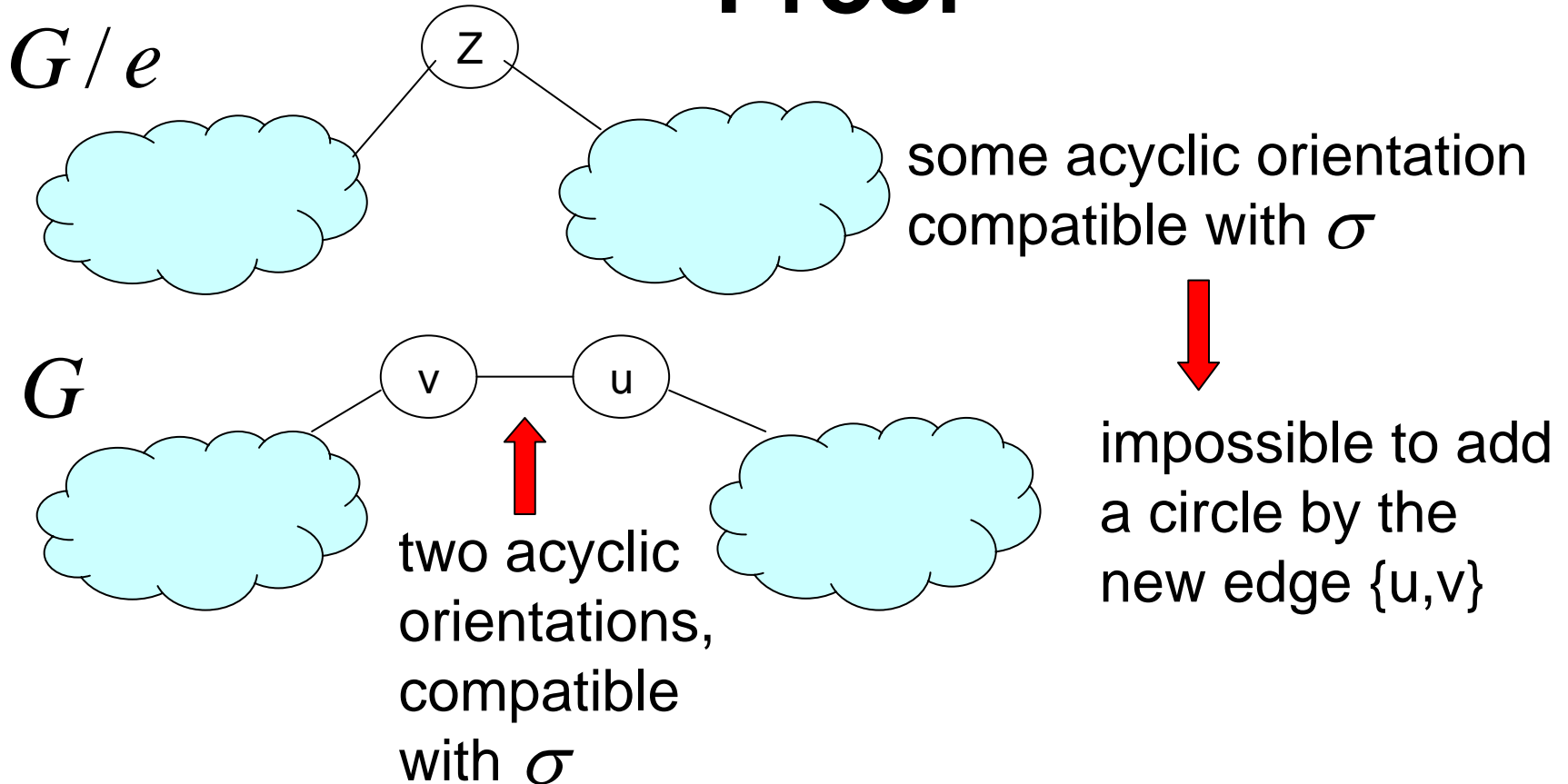
## Proof

We now have to show that both  $\nu_1$  and  $\nu_2$  are acyclic for exactly  $\tilde{\chi}(G/e, x)$  pairs of  $(\sigma, \nu)$  with  $\sigma(u) = \sigma(v)$

Let  $z$  denote the vertex identifying  $\{u, v\}$  in  $G/e$

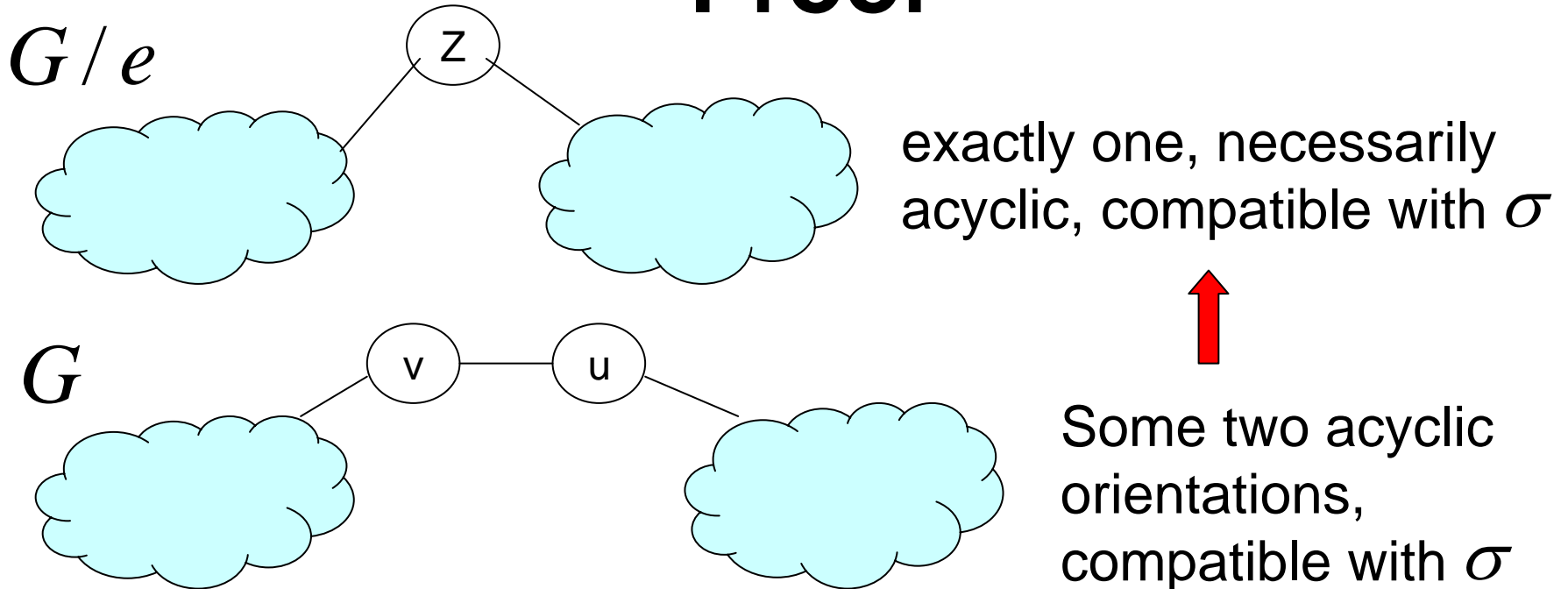
# Acyclic Orientations of Graphs

## Proof



# Acyclic Orientations of Graphs

## Proof



All other vertices of  $G$  remains the same

# Acyclic Orientations of Graphs

## Proof

And so both  $\nu_1$  and  $\nu_2$  are acyclic for exactly  $\tilde{\chi}(G/e, x)$  pairs of  $(\sigma, \nu)$  with  $\sigma(u) = \sigma(v)$

And so –

$$\tilde{\chi}(G, x) = \tilde{\chi}(G - e, x) - \tilde{\chi}(G/e, x)$$

# Acyclic Orientations of Graphs

## Proof

It is obvious that for  $x = 1$  every orientation is compatible with  $\sigma : V \rightarrow \{1\}$

And so the expression count the number of acyclic orientations in  $G$

**Q.E.D**

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