Concurrent Copying Garbage Collection

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Introduction

- RTGC is gaining acceptance as an alternative to manual memory management for RT applications

- But:
  - Multiprocessor support is problematic
  - ... especially if defragmentation is required.
• What we deliver:

• Compaction.

• Concurrency.

• Lock freedom.

• Efficiency.
Why is it hard?

- At some point during defragmentation there will be two copies of the same object.
- Then: which version of the object should the mutator access?
Original Object (From) ➔ Field ➔ Object Copy (To)

Already Copied

Mutator
But: how do you know when to switch from the original to the to-space object?
Immediately after you check which version of the field to use, the copier may advance past it.
• Previous techniques:
  • Hudson & Moss ’01, Cheng & Blelloch ‘01
  • Stopless (Pizlo et al ‘07)

• Our New Techniques:
  • Chicken
  • Clover
• **Chicken:**
  • Really fast
  • Does not guarantee that all objects are copied

• **Clover:**
  • Probabilistic!
  • Guarantees that all objects get copied
• Both Chicken and Clover are simple to implement

• (simpler, we argue, than any previous proposed concurrent copying technique).

• Both Chicken and Clover preserve the underlying hardware memory model - no JMM tricks are necessary.
Chicken
• **Design Principles:**

• Use the cheapest barriers possible.

• Don’t guarantee that objects tagged for copying will actually be copied.

• Anytime the mutator writes to an object as it is being copied, abort the copying of the respective object.
Use a Brooks-style forwarding pointer
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To copy the object, first “tag” the forwarding pointer (set a low order bit)
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The mutator writes by first atomically clearing the tag.

... and then performing the write
If the object is already copied, the mutator writes to the new object via the forwarding pointer.
Write barrier

```java
write(object, offset, value) {
    if object is tagged
        CAS(object.forward, tagged → untagged)
    object.forward[offset] = value
}
```
Write barrier

Clears the tag bit that we stole from the Brooks forwarding pointer

```c
write(object, offset, value) {
    if object is tagged
        CAS(object.forward, tagged → untagged)
    object.forward[offset] = value
}
```
Write barrier

Clears the tag bit that we stole from the Brooks forwarding pointer

```
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}
```

Writes to the field via the Brooks forwarding pointer
The collector starts by tagging objects that it wishes to copy.
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The object is then copied.
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To get the mutator to use the new object, we **atomically** remove the tag and set the forwarding pointer.
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The object is then copied. This will fail, if the mutator had written to the object!

To get the mutator to use the new object, we atomically remove the tag and set the forwarding pointer.
• Why this is good:
  
  • Read barrier is a wait-free Brooks barrier
  
  • Write barrier is a branch on the fast path, and a branch+CAS on the slow path (either way it’s wait-free)
  
  • Copying is simple and fast
  
  • In practice only ~1% of object copying gets aborted.
  
  • Abort rates can be easily reduced (see paper).
• Things that could be improved:
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• Eliminate object copy abort entirely.
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- Eliminate object copy abort entirely.
- Segue into Clover...
Clover
Clover

- What if each field had a status field that indicated, if the field was copied?
- And what if - you could CAS the field’s value, as well as the status field, in one atomic, lock-free operation?
Status

Field Not Copied

Mutator
The idea is to allow the mutator to always write to the original object, and to have such writes force the collector to recopy the field at a later time.
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If the field is already copied, access to-space.
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Status

Field Not Copied

Collector
Collector repeatedly attempts to copy and assert the field as copied until it does so without the field’s value changing.
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Problem: cannot CAS two separate fields in hardware
If you could steal a bit in the field, this would be easy...
But where do you get the bit?

Easy for reference fields - but really hard for integer fields!
Use a random number!

I.e. we steal $2^{-128}$ bits!
Let $R = \text{random bits}$

$R$ can be huge - it can be the largest CAS-able word - 128 bits on Intel!
• The random number is used to mark fields as copied.

• This is correct, if the mutator does not use R.

• But R is selected at random, independently of the program - with R having 128 bits, the probability of “failure” is $2^{-128}$. 
• Put this in perspective:

• Probability that a person dies from a car crash in a single day in the US is higher than 1/300,000

• Even if we stored a random value into a field once a nanosecond since the Big Bang, the probability of ever colliding with Clover would be 1/1,000,000,000,000,000
So - how does it work?
The mutator writes to the from-space using a CAS that asserts that the field is not copied (does not equal R).
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\[ \text{CAS } \neg R \rightarrow v \]
If the CAS fails, the mutator just writes to to-space.
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• What you just saw is a \textit{probabilistically correct} concurrent copying algorithm.

• \textbf{But we can:}

• Make the algorithm \textit{correct but probabilistically lock-free} by detecting when the user uses R.
Implementation
• Chicken and Clover are implemented in the same infrastructure as Stopless (ISMM’07)

• We use the Microsoft Bartok Research Compiler, and extend the lock-free concurrent mark-sweep collector.

• We use Path Specialization (ISMM’08) to optimize barrier performance.
Results
Summary of Results

- Both schemes have $\sim$20\% throughput overhead
- Clover leads to a $\sim$3x slow-down when executing with full barriers
- Chicken has almost no slow-down.
Detail: throughput

- MSR benchmark suite (four internal PL-type programs written in C#, VB, and C++, plus four traditional benchmarks ported to .NET)

- Compare concurrent mark-sweep (CMS), Stopless (ISMM’07), Chicken, and Clover
Detail: scalability

• SpecJBB2000 ported to C# using the Microsoft Visual Studio Java to C# converter

• Compare CMS, Stopless, Clover, and Chicken
Detail: responsiveness

- Two benchmarks:
  - Microbenchmark measuring responsiveness for short-running interrupt handlers
  - Our JBB port (measure transaction time distribution)
• For the Interrupt Microbenchmark we measure:
  • concurrent mark-sweep (see paper)
  • Stopless (see paper)
  • Clover
  • Chicken
Interrupts: Clover

![Graph showing interrupts over time](image-url)
Interrupts: Clover

Clover outliers

Microseconds
Interrupts: Clover

Clover outliers

OS outliers, visible in C code

Microseconds

10^6
10^4
10^3
10^2
10^1
1
0 5 10 15 20

Printed by Mathematica for Students
Interrupts: Clover

Clover outliers

OS outliers, visible in C code

Other RTGCs, like Metronome, would have a large peak well past the 200 microsecond mark.
Interrupts: Clover

![Graph showing the number of interrupts over time in microseconds. The y-axis represents the number of interrupts on a logarithmic scale, while the x-axis represents time in microseconds. The graph shows a decreasing trend of interrupts over time.]
Interrupts: Clover

Microseconds

Chicken outlier

10^6

10^4

100

10

0 5 10 15 20

Printed by Mathematica for Students
Interrupts: Clover

- Chicken outlier
- OS outliers, visible in C code
• For JBB we measure:
  • stop-the-world mark-sweep (see paper)
  • Stopless (see paper)
  • Clover
  • Chicken
JBB: Clover

Worst case: 3 ms
JBB: Chicken

Worst case: 1ms
Summary

- Presented two new concurrent copying strategies - one that is very light-weight, and another with strong (but probabilistic!) guarantees.
- Both are simpler than previous techniques.
- Both provide good throughput and responsiveness.
Questions