Synthesis with Granular Interactive Model

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Synthesis with Granular Interactive Model

Research Thesis

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Abstract

A frequent programming pattern for small tasks, especially expressions, is to repeatedly evaluate the program on an input as its editing progresses. The Read-Eval-Print Loop (REPL) interaction model has been a successful model for this programming pattern. We present the new notion of Read-Eval-Synth Loop (RESL) that extends REPL by providing in-place synthesis on parts of the expression marked by the user. RESL eases programming by synthesizing parts of a required solution. The underlying synthesizer relies on a partial solution from the programmer and a few examples.

RESL presents a happy marriage between input-output examples, sketching, and an immediate feedback loop. In addition, in order to aid programmers in understanding synthesized programs and tracking problems, RESL is equipped with debugging capabilities within the feedback loop.

We show the value of RESL by implementing it and conducting a controlled user-study on 19 programmers from 8 companies, where programmers are expected to solve a small but challenging set of competitive programming problems. We find that programmers using RESL solve these problems with far less need to edit the code themselves and by browsing documentation far less. In addition, they are less likely to leave a task unfinished and more likely to be correct.
## Abbreviations and Notations

<table>
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<th>Acronym</th>
<th>Description</th>
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<tr>
<td>REPL</td>
<td>Read-Eval-Print-Loop, An interactive shell that allows quick expression evaluation</td>
</tr>
<tr>
<td>REDL</td>
<td>a REPL environment with debug-information for the evaluated expression</td>
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<tr>
<td>RESL</td>
<td>a REPL environment with debug-information and program synthesis functionality</td>
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<td>GIM</td>
<td>Granular Interactive Model</td>
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Chapter 1

Introduction

A frequent programming pattern for small tasks, especially expressions, is to repeatedly evaluate the program on an input as its editing progresses. For convenience, users often take such an expression out of its context into a programming environment where a quick cycle of editing and executing is supported. The Read-Eval-Print Loop (REPL) provides such an environment and is a staple of many programming languages, including Python, JavaScript, and Scala. We present the new notion of Read-Eval-Synth Loop (RESL), which extends REPL by providing in-place synthesis on parts of the expression provided by the user. Our experiments show that RESL reduces programmers’ effort, increases their task completion rate, and improves program correctness.

Read-Eval-Print Loop (REPL) The REPL model transforms the original development cycle of edit-compile-run-debug, tightening the development loop for small pieces of functionality. In the REPL model, the user provides an environment and an expression, an educated guess of the desired program, and the machine evaluates the expression and prints the result. REPLs provide exploratory programming and debugging where the programmer iteratively inspects the printed result and adjusts the initial guess accordingly.

Programming with Read-Eval-Synth Loop We wish to apply a similar transformation of the development cycle to the way programmers use synthesizers. RESL offers a synthesis-focused interaction model, supporting an iterative workflow with both the interpreter and the synthesizer, preserving all the benefits of the REPL while also providing synthesis capabilities. Where a REPL functions on environment variables, RESL operates on a set of input values, allowing the user to specify the expected output for each input. This functionality meshes well with the paradigm of Programming by Example (PBE) [WCB17, OZ15, FCD15, Gul16, Gul11, Gul12, PG15, YWD18], where the tool produces a program that satisfies the input-output pairs. To augment the examples, the user can pick parts of the program to preserve, and others that should be replaced by the synthesizer, controlling where to focus the synthesis effort.

Existing Techniques Many works in recent years attempted to bring synthesis into the hands of end-users and programmers. A common interaction model relies on sketching [SA16, HK17, WSMK18, BTGC16], where the user provides a program with holes, and some form of specification and the synthesizer fills the holes in a way that satisfies the specification. PBE is a prominent avenue for synthesis specifications, as examples are usually part of task definitions, and can be
provided and understood even by non-programmers (though being a partial specification, they do not restrict the behavior for unseen inputs). RESL combines the power of the two interaction models and provides an iterative and interactive way to program with a synthesizer in the loop.

RESL can also be viewed as an iterative and interactive repair problem but differs from the many works of repair-by-synthesis [LR15, XWY+17, DLCL+17, HZWK18] because it is intended for interactive use.

**Our Approach** We present programming with a Read-Eval-Synth Loop (RESL), a new interaction model that extends the widely used REPL model with an effective synthesis step.

RESL is just like REPL, with the additional help of a synthesizer. This raises two high-level challenges: (1) what is the right interaction model in which the user can express intent to the synthesizer, and (2) how can the synthesizer leverage information from the interactive session to make synthesis efficient and practical in an interactive setting.

(1) **Interacting with the synthesizer** We conjecture that a good interaction model for small tasks combines: REPL, test cases, synthesis, and specification mechanisms that do not rely solely on values. In addition to test outputs, RESL allows the user to specify their intent using syntactic restrictions on the expected program. We formalize these specifications as predicates on programs.

(2) **Efficient synthesis** The new specification mechanisms interfere with existing search space pruning techniques, e.g., observational equivalence [AGK13, URD+13], which are required to make the search tractable. Our main technical contribution is to extend observational equivalence, from execution values on inputs to *observers* that differentiate between programs on a per-predicate basis.

**Main Contributions** The contributions of this thesis are:

(1) A new interaction model for small programming tasks, which leverages “synthesis in the loop” as part of the iterative Read-Eval-Synth interaction. This model is a strict generalization of synthesis based on input-output examples.

(2) A formal framework for bottom-up synthesis with sketching and specification predicates, which generalizes the original, example-based notion of observational equivalence.

(3) An empirical evaluation that shows our modification of the synthesizer is necessary and yields a tractable procedure.

(4) A user study on 19 industry developers who are JavaScript novices, which showed RESL helped them correctly solve challenging competitive programming tasks, reducing the editing load, frustration, and the need for documentation.
Chapter 2

Background

This work addresses program synthesis. Here we will provide some background on the subject, explain the different parts of synthesis and conclude with the concept that Granular Interactive Model (GIM) provides.

Program synthesis is usually approached as a search problem - its input is a set of properties and its output is a program that satisfies all properties. The properties are formally defined as the specification that that output program should uphold. In order for the search to proceed, some algorithm that generates candidate programs should be used. The number of programs of size $n$ grows exponentially as $n$ increases, and no efficient method is known to prune that space effectively under all circumstances.

Program generation can be carried out in many ways. The most straightforward is enumeration over the program size - start off with small programs and compose them together to produce larger programs. This method can be further divided into bottom-up and top-down enumeration. In bottom-up enumeration, small concrete programs are composed together using a single operator that acts as the root of the new program. Top-down enumeration takes programs with "holes" in their tree representation, and fills the holes with other programs until no holes are left.

Other candidate generation algorithms can leverage the provided specifications to produce better candidates faster. As an example, observational equivalence [SA19], can greatly reduce the search space in some cases, but the general problem remains computationally hard.

Specification definition can also appear in several forms. The user can provide a set of tests that the target program should pass, this is commonly referred to input-output examples. Another specification could consist of non-example rules, such as the type of the result or the appearance of a sub-expression inside the target program. All of these methods, once provided, do not rely on external input to change their behavior.

Granular Interactive Model (GIM, as described in [PIS18]) provides a user with the ability to convey partial specification to a program synthesizer, and iterate on the result until satisfied. The specifications themselves allow the synthesizer to prune the search space effectively, and the interactive session allows smaller increments to occur until the target program is reached. The interaction consists of the user specifying an initial set of predicates that the target program should uphold, and the synthesizer providing a candidate program. If the user is not satisfied with the candidate, she should provide another predicate to better-explain the target of the synthesis. This interaction resembles counterexample-guided synthesis (CEGIS, as appears in
[SLTB$^+06$]), but includes a user input in the counterexample generation loop and allows many types of predicates as counterexamples.

The main hurdle that program synthesis faces is scalability. The number of programs increases exponentially with regard to their height. Any program more complex than a few operations will be written by a novice developer faster than it is generated by an off-the-shelf synthesizer. On the other hand - a synthesizer can enumerate over thousands of programs per second, so providing it with a simple challenge could be beneficial to the programmer.

Interactivity in programming synthesis could lead to the best of both worlds. The human programmer could prune the search space by defining the next logical step in the problem, define its partial specification and let the synthesizer work out a solution. Then the programmer could define the next sub-task and repeat until the problem is solved.
Chapter 3

Overview

3.1 Motivating example

Our aspiring programming ninja is solving a competitive programming “kata” numbers-to-digit-tiers:

Create a function that takes a number and returns an array of strings containing the number cut off at each digit.

For example:  
- 420 should return ["4", "42", "420"]
- 2020 should return ["2", "20", "202", "2020"]

The user, an experienced programmer but a JavaScript novice, has in mind an approach: iterating over a range of numbers up to the number of digits, they will take the first $i$ characters from the string representation of the number each time. They could set out to solve it in a REPL, iteratively testing and honing their proposed solution. However, they are uncertain about how to implement parts of their solution and turn to RESL, where some parts can be synthesized. The following describes their RESL session as seen in Figure 3.1:

**Step 0 (initial state):** a new RESL session starts with a default program input, returning the value of the variable input, and no examples.

**Step 1:** the user enters the task’s input-output examples into RESL (user edits are denoted by \(\equiv\)). Inputs are assigned to the variable input.

**Step 2:** RESL evaluates the inputs on the current program, input, and displays the outputs to the user (values computed by the system are denoted by \(\equiv\)).

**Step 3:** The user arrives at a partial solution. Arriving at this partial solution could itself be an iterative process, with the user trying a few programs, each of which is executed on both values of input. They come up with a map that they believe will perform as expected, and test it on the first and last elements of the desired range, 1 and input.toString().length.

**Step 4:** RESL computes the outputs on this program, and the user sees that the first and last elements are as expected.

**Step 5:** The only thing left to do is to create the range, which should be 1..input.toString().length. The user does not know how to construct a range, so instead, they mark the current array expression as a portion of the program that should be replaced. This is called a sketch: the user expresses their intent to keep everything outside this sub-expression as is, while turning it into a hole to be
(0) > input input initial program returns the variable input; there are no examples yet

(1) > input expected
   input 420 ["4", "42", "420"]
   2020 ["2", "20", "202", "2020"]
   user specifies examples:
   values for variable input and expected outputs

(2) > input expected output
   input 420 ["4", "42", "420"]
   2020 ["2", "20", "202", "2020"]
   RESL computes the actual outputs

(3) > [1,input.toString().length].map(i => input.toString().slice(0,i))
   user enters program

(4) > expected output
   input 420 ["4", "42", "420"]
   2020 ["2", "20", "202", "2020"]
   RESL computes new outputs

(5) > ?
   map(i => input.toString().slice(0,i))
   user sets fix location

   Specification:
   retain input.toString().length
   input expected
   420 ["4", "42", "420"]
   2020 ["2", "20", "202", "2020"]
   and adds a retained subexpression to
   specifications, then clicks Synthesize

(6) > Array.apply(null,{'length': input.toString().length})
.map((e,i) => i+1)
   .map(i => input.toString().slice(0,i))
   RESL synthesizes a subexpression
   to match the specification and
   applies it to the program

   input 420 ["4", "42", "420"]
   2020 ["2", "20", "202", "2020"]

Figure 3.1: The steps in a RESL session trying to solve the numbers-to-digit-tiers kata. Actions
taken by the user indicated by 
, values computed by RESL indicated by 
.

replaced with a new expression. The user also adds their intent that input.toString().length, the
length of the range, be used in the solution by selecting it as a sub-expression to be retained.
They click the Synthesize button to activate the synthesizer.

Step 6: The synthesizer finds an assignment for the hole which satisfies all provided user intent:
input-output examples and the retained expression. It shows the assignment to the user, along
with the outputs, which are the expected outputs.

An alternate approach: the user could start off by immediately synthesizing the expression
for the range. To that end, they would modify the input-output examples to be 420 → [0,1,2] and
2020 → [0,1,2,3], specifying the sub-task first, then revert the examples to those appearing
in the task and iterate on the content of the map to reach the function parameter that appears in
step 3.

3.2 The anatomy of a RESL-ing session

A RESL-ing session is an iterative loop comprised of two dialogues, as shown in Figure 3.2. The
first loop is between the human user and the Arena, the RESL’s user interface, which allows
the user to view the program and manipulate it by editing, viewing its output and intermediate
states on examples, and preparing a synthesis query for a subexpression they would like fixed.
The second is between the user and the synthesizer, mediated by the Arena, which is conducted in a sequence of queries and answers constructed and processed for display for the user by the Arena.

**Interaction with the Arena** The user can advance the session with the interface in one of two ways: (i) editing the program or the hole to be synthesized, or (ii) creating predicates capturing the specification that will be sent to the synthesizer when it is called.

The iterations for steps 1&2 and 3&4 are iterations between the user and the interface. The user edits the examples and the program and receives the outputs of the program on those inputs. The user can also use “debug information”, intermediate execution values displayed by the interface, to view the progression of loops (e.g., map), or track down the source of errors.

**Synthesis queries** In step 5, the user performs two actions: marking a subexpression $C$, which becomes a hole and will be replaced by the synthesizer; and marking an expression to retain in the result. These are precursors to constructing a query to the synthesizer. When the user clicks Synthesize, the interface converts the current state of the session into the components of a query $q_i$.

We define a synthesis query $q_i$, where $q_i = (V_i, S_i)$. $V$ is a vocabulary, or a set of terms, functions and operators that can be used in the solution. $S$ is the set of specifications that should hold for the synthesized answer, a new completion $C'$. Since the user provides their specification on the complete program (after replacing $C$ with $C'$) the synthesis query contains a modified specification that can be tested directly on any candidate completion $C'$. Additionally, RESL’s initial vocabulary $V$ is enriched with any context variables declared in $C$, and by adding both new terms from $C$ that are not in $V$, and subexpressions of $C$ (including $C$ itself), to the vocabulary, code written by the user is used as an additional expression of intent.

In the example, step 5 generates a query $(V_1, S_1)$ with:

$S_1 = \{ \text{retain} \text{input.toString().length}, 420 \rightarrow \gamma.\text{map}(i \mapsto \text{input.toString().slice(0,i)}) ["4","42","420"], 2020 \rightarrow \gamma.\text{map}(i \mapsto \text{input.toString().slice(0,i)}) ["2","20","202","2020"] \}$

and $\text{input}, \text{toString()}, \text{length}, \text{input.toString()}$ and $\text{input.toString().length}$ added to $V_1$.

Note that input-output examples are a type of predicate, and that they are bound to the sketch the result will be assigned into. The user need not be aware of this binding, as the Arena performs it automatically.

**Synthesis loop** At any point in the iterative interaction with the Arena, the user can send a synthesis query $q_i$, to be answered with a response $C'$, which the arena assigns into $T^0$—
sketch remaining after removing C—and displays to the user. The user continues the iteration with the Arena until they are happy with the program, either modifying the program and refining the specification, or sending additional synthesis queries. At any point where all predicates entered into the Arena hold for the current program, the user can accept it.

3.3 A synthesizer fit to RESL

Although specification with general predicates was initially introduced as part of the Granular Interaction Model [PSY18], it was tested against a mock synthesizer but never implemented. Our goal is to create a synthesizer for RESL, but this is not trivial: non-example predicates can interfere with the pruning mechanism of enumerative synthesis, either making the search for a program explode or causing the specification to become unsatisfiable.

To support RESL, we implement an enumerating synthesizer with observational equivalence ([AGK13, ARU17, WCB17], among others). Observational equivalence (OE) redefines functional equivalence by limiting it to a few “important” inputs on which programs need to be discernible, and the observational equivalence reduction (OE-reduction) prunes the space by discarding programs equivalent to ones previously encountered. In PBE, the inputs from input-output examples provided to the synthesizer are designated as “important”. For example, when tested against the two inputs for examples in $S_1$, $\langle 420, 2020 \rangle$, the program `input.toString()` evaluates to $\langle '420', '2020' \rangle$. Likewise, the program `input.toString().substr(0,4)` also evaluates to $\langle '420', '2020' \rangle$. While there are inputs for which these two programs do not return the same result, in the limited context of OE over these two inputs, the programs are placed in the same equivalence class; one (usually the shortest) will be kept as its representative in the enumeration, and the other discarded. Observational equivalence is the state of the art in pruning a bottom-up enumeration, but we must modify it to suit the needs of RESL.

**Specification predicates and observational equivalence** To support a varied range of predicates in the user specification, we generalize observational equivalence beyond execution values on inputs. To do this, we introduce observers, functions defined per-predicate that provide observational equivalence with values on which to operate—we replace the outputs vector used for equivalence above with the results of observers, one for each predicate in the specification. While for example-predicates the observer will still yield execution values over the input, observers for other predicates such as `retain` will return a value designed to separate programs based on the predicate they observe.

When determining the equivalence class of the program `input.toString()`, the two example predicates will have observers that behave like the execution in the original OE-reduction, observing ‘420’ and ‘2020’.

The observer for the non-example predicate, `retaininput.toString().length`, must indicate two things: whether the retained expression is already part of the current program, and whether the current program is a subexpression of the retained expression. The first ensures we regard two programs that contain the retained expression as equivalent *in regards to the retain predicate*, and the second ensures we don’t discard a subprogram needed to construct the retained expression. Since `input.toString()` is a subexpression of `input.toString().length`, the observer value will
encode which subexpression.

**Synthesizing a completion to a sketch** Examples provided by users in the RESL Arena indicate the desired behavior of the entire program. However, since the user can create a hole anywhere in the current program using the Arena, as in step 5 of Figure 3.1, the synthesizer is now tasked with synthesizing a completion that, when assigned to the hole, behaves as specified. While the synthesizer generates just the completion, in order to yield a correct one it must consider the sketch as well; the sketch is therefore bound to the example predicates in $S_1$.

In a more complex case, the user can create a hole within an inner context, such as inside the `map`, that includes inner variables. Using observational equivalence means values are needed for these variables. We therefore define observers for examples that can *extend* a given input valuation, yielding all possible valuations for the inner context and returning a set of execution values instead of a single value when comparing two possible completions.

**Accepting an extended vocabulary** Finally, the synthesizer for RESL must accept a parametric $\mathcal{V}$ with each synthesis query, as each synthesis iteration may have a hole in a different position in the program, adding new inner context variables, and be attempting to replace a different expression that contributes new constants and functions to $\mathcal{V}$ beyond the base vocabulary.

### 3.4 Key Aspects

The key technical aspects of this thesis are:

1. Extended specifications: a workspace allowing the user to easily provide the synthesizer with examples as well as other predicates, define a sketch, and edit the resulting program.

2. Enriched synthesis vocabulary: the vocabulary given to the synthesizer is enriched with program elements written by the user, not limiting synthesis to a predefined vocabulary.

3. Generalizing equivalence: a new synthesis algorithm which generalizes Observational Equivalence to allow syntactic predicates and predicates on intermediate states of the program.

4. Input extension: a bottom-up solution for enumerating programs in an inner scope with new variables, including inside higher-order functions.
Chapter 4

Preliminaries

Syntax-guided synthesis  Syntax-guided synthesis (SuGuS) [ABJ+15] accepts as input a vocabulary $\mathcal{V}$ of constants, functions, and operations to define the possible space of programs. For an element $f \in \mathcal{V}$, $arity(f) \in \mathbb{N}$ denotes the arity of $f$. We use the elements in $\mathcal{V}$ to construct ASTs bottom-up as follows: given an element $f$ with $arity(f) = k$ and $k$ ASTs $c_1, \ldots, c_k$, $f(c_1, \ldots, c_k)$ is a new AST in the program space of $\mathcal{V}$.

For an AST $T$, $height(T) \in \mathbb{N}$ denotes the height of the tree, where the height of constants and variables is 0. Another measure for the size of $T$ is $terms(T) \in \mathbb{N}$, which denotes the number of vocabulary terms (or AST nodes) in $T$.

The subtree relation  If expression $E_1$ is a subexpression of $E_2$, then the AST for $E_1$ will be fully embedded in the AST of $E_2$. (Note that this is a property of expressions, but is not trivially a property of full programs. Though the definition can be modified to fit statements and statement lists, this thesis will focus on expressions.) We denote this as $E_1 \subseteq E_2$.

Sketching and sketch trees  Sketching (popularized by Sketch [SL08] and later extended to include other partial programs, as in [FWB+19, SA16, BTGC16]) is a variant of synthesis where the outermost part of the program is already known, and only a portion of it (a hole) needs to be filled by the synthesizer. In this thesis we handle the specific case of a sketch with a single hole. A sketch tree is a an AST in which one node is a hole node, denoting a missing subtree, and marked as $?$.

Assigning to a sketch  In order to attain a full program from a sketch, we need to assign a (non-sketch) AST to the hole. We define the sketch assignment operation $T^0 \triangle C$, which accepts a sketch tree $T^0$ and a full completion $C$, and replaces the hole node in $T^0$ with $C$. No renaming or modification is performed on $C$ during the assignment—$C$ is expected to match the specific context of the hole.
Chapter 5

Predicates

This section describes using predicates on programs for communicating user intent to the synthesizer. The way this is integrated into the RESL Arena will be described in Chapter 6.

Previous work [PSY18] defines partial specification, including examples, as a set of predicates on programs. The input-output examples the user enters in step 1 of Figure 3.1 are each an instance of an example-predicate, and the retain operation in step 5 is also a predicate.

Formally, every interaction with the synthesizer is comprised of a set of predicates of type $\text{Tree} \rightarrow \text{Boolean}$. Theoretically [PIS18], any decidable predicate on programs (preferably quickly decidable) can be used. (author?) [PSY18] offer an interaction model where predicates specify syntactic features of the program, which they tested with no implemented synthesizer. In this work, we offer predicates that are both useful and easily instantiated via a point-and-click interface on the program. Their implementation is detailed in Chapter 8.

RESL supports predicates from several families of predicate schemas:

**Input-output predicates:** as in PBE, assert that running the program tree on a specific input valuation $\iota$ will yield a concrete output $o$. While the user enters a general $\iota \rightarrow o$ that specifies the entire program, they may also create a sketch $T^0$, which means that the synthesis query must specify the behavior of the synthesized completion $C$. To this end, we define $\iota \rightarrow_{T^0} o$ as follows:

$$(\iota \rightarrow_{T^0} o)(C) \triangleq ([T^0 \triangle C](\iota) = o)$$

In a session, $T^0$ will be the sketch tree created when the user defines a hole in the current program. E.g., in step 5 of Section 3.1, the user creates a hole resulting in the sketch tree $t^0 = ?.\map{i \mapsto \text{input}.\text{toString()}.\text{slice}(0,1)}$. The first user-provided example in step 1 will be instantiated for the synthesizer as $\{input \mapsto 420\} \rightarrow_{t^0} ["4","42","420"]$. If no hole is created, then the entire program is to be synthesized, and $\{input \mapsto 420\} \rightarrow_{T^0} ["4","42","420"]$ will be added to $S$.

**Retaining a subexpression:** asserts that a certain subexpression must appear in the program tree. Given a full subtree $E$, we define $\text{retain}_E$ as follows:

$$\text{retain}_E(C) \triangleq (E \subseteq C)$$

When used in a session, $E$ is some subexpression of the current program viewed by the user. Any retain predicates will only be tested against the completion $C$, not the full program $T^0 \triangle C$. 
For example, in step 5 of Section 3.1, the retain action instantiates a `retain_{input.toString().length}` predicate in the synthesis query, ensuring that the result in step 6 contains the desired expression.

**Excluding a subexpression:** the complement of `retain`, `exclude` asserts that a certain subexpression does not appear in the program tree. Given a full subtree `E`, we define `exclude_E` as follows:

\[
exclude_E(C) \triangleq (E \not\subseteq C)
\]

In a session, `E` is some subexpression of the current program viewed by the user. Like `retain`, `exclude` is only tested on the completion, not the entire program.

In step 5 of Figure 3.1, the user could also specify `exclude_1`, meaning this numeric literal should not appear in the result (this would rule out the result returned in step 6).

**Requiring and prohibiting subexpression types:** asserts that for a given input, evaluation of the completion `C` will either have (`require`) or not have (`prohibit`) a subexpression of type `τ`. This is useful both to suggest a desired algorithm and to control type coercion in JavaScript. We define:

\[
require_{(τ,ι)}(C) \triangleq \exists E \subseteq C.type(\| E \| (ι)) = τ \quad prohibit_{(τ,ι)}(C) \triangleq \forall E \subseteq C.type(\| E \| (ι)) \neq τ
\]

Each `require` and `prohibit` is defined for a single input `ι`. However, in order to make them easier to enter, they are presented to the user as a general specifications for all inputs, and when composing `S` the Arena, described in the next section, adds the `require` or `prohibit` for each `ι \rightarrow_τ o` in `S`. 
Chapter 6

The RESL Arena

Communication with the synthesizer requires both the editing of predicates, and delicate tree operations. While neither is impossible for a human user to do unaided, they are not convenient. As such, we introduce the RESL Arena, with which the user interacts. The interaction with the Arena is itself an iterative process with the dual purpose of providing the user with information about the current state of the program and constructing the synthesis queries \(q_i = (V_i, S_i)\), which are the form of communication between the Arena and the synthesizer, and are defined in Chapter 7.

The Arena is meant to give users the familiar look-and-feel of a REPL, preserving the important feature of REPLs, the speed of iteration [BFdH+13] that allows the programmer to determine whether part of the program behaves as desired in a piecewise manner. The RESL Arena extends this by executing the program on multiple inputs at once, displaying results and intermediate values, and providing a REPL-like interface interface to the synthesizer.

Figure 6.1 shows the components of the RESL Arena, used in the interaction described in Figure 3.1. We now describe the actions supported by the Arena.

**Entering a program** The user can enter a new program (or edit a program from the history of the session) using the prompt at the bottom left. This becomes the *active program*.

![Figure 6.1: The RESL Arena. (a) the full Arena window after step 4 in Figure 3.1, (b) designating a subprogram as the hole to be fixed by the synthesizer, (c) adding an input-output example, and (d) the user viewing debug information on a subexpression.](image-url)
Adding and modifying examples The user can add new input-output pairs in the Arena, to evaluate the program with and use as $i \rightarrow o$ for the synthesizer, as seen in Figure 6.1(c). Existing examples can be removed or modified.

Evaluating and testing A program entered into the Arena is evaluated on every input in the provided examples, the input assigned to the input variable. Outputs for every input are printed for the user (seen in Figure 6.1(a)). If the output matches the expected output, a green checkmark is shown, otherwise, the expected output is shown. Changes to the examples are automatically re-evaluated without re-entering the active program.

Viewing debug information While a REPL is not a suitable environment for Live Programming [Ler20, OVCH19], we still wish to show the user a breakdown of the internals of an evaluated expression. Therefore, for every subexpression of the full program, the Arena exposes every intermediate value in the program evaluation for every input. In the case of internal loops, e.g., the lambda inside the filter function, every execution context is shown. Figure 6.1(d) shows the debug information for the subexpression input.toString() in the context of $\{\text{input} \mapsto 420, i \mapsto 1\}$. Scrolling down will show other valuations, e.g., $\{\text{input} \mapsto 420, i \mapsto 3\}$, $\{\text{input} \mapsto 2020, i \mapsto 1\}$.

Creating a hole The user can mark a subexpression as the part of the program to be replaced in a synthesis operation. In Figure 6.1(b), the user is asking the Arena to decompose the current program into a sketch tree (shown in purple in Figure 6.1(d)) and a completion (shown in black).

Exclude and retain The user can mark a subexpression to exclude or to retain when calling the synthesizer. These will be instantiated as a predicates and appended to the synthesis query.

Restricting subexpression types The user can require or prohibit a specific type to be present in the intermediate state of the synthesized completion. I.e., one of its subexpressions must—or must not, respectively—be of this type. They can be seen in the bottom right of Figure 6.1(a). In the arena, these constraints are represented as general constraints, as opposed to the way they are formally defined in Chapter 5. Their conversion into the formal predicate is detailed in Chapter 7.

Invoking the synthesizer Once the user has provided specifications, they can invoke a call to the synthesizer using the Synthesize button, in the lower right corner of Figure 6.1(a). The following section details how the Arena transforms the user-facing state into a synthesis query.

This synthesis query is then sent to the synthesizer. The completion $C'$ returned by the synthesizer is assigned into the hole and $T^0 \Delta C'$ is displayed as the new active program in the programs display. Subexpression and type constraints (retain, exclude, require type, and prohibit type) are discarded upon receiving a new program. Examples are preserved until changed by the user.
Chapter 7

Synthesis queries

In this section we describe the interface between the Arena and the synthesizer, which is demonstrated in step 5 in Section 3.1. In each synthesis call, a separate query is sent to the synthesis back-end. Each synthesis query is stateless, providing all needed information to the synthesizer.

Synthesis query To initiate a synthesis step, the Arena constructs a synthesis query \( q_i = (V_i, S_i) \) from the Arena’s current state. \( V_i \) is the synthesis vocabulary, and its construction will be detailed later in this section. \( S_i \) is the modified specification.

Creating the query requires the sketch \( T^0 \), the program outside the hole created in the Arena. If the user created no hole, \( T^0 \) will ? (a hole node). Then examples, which are specified in the Arena for the full program, are bound to the hole in \( T^0 \) by turning each \( \iota \to o \) to \( \iota \to_{T^0} o \) before adding it to \( S_i \). Subexpression type constraints require and prohibit are bound to the inputs from any \( \iota \to_{T^0} o \). Remaining predicates are simply added to \( S_i \).

Synthesis result A successful result of a synthesis step is an AST \( C_i \) such that \( C_i \models S_i \). The next active program would consequently be constructed by the Arena by composing \( T_i^0 \triangle C_i \).

The synthesizer returns a result as soon as it finds a satisfying expression. If the timeout expires before a result could be found, the synthesizer fails with an empty result denoted \( \bot \).

Extending the synthesis vocabulary SyGuS is a variant of program synthesis where the synthesizer is provided with both a specification and a grammar defining the search space as inputs. As \( V_i \) changes at every iteration, RESL is a SyGuS synthesizer.

The size of a synthesizer’s vocabulary greatly effects the size of the search space. In a bottom-up enumeration, limiting the vocabulary also limits the available constants. As a result, \( V \) may be too limited for queries in a real-world development session. Additionally, \( V \) is defined for the outer-most scope, but sketching may have introduced new inner scopes.

RESL tackles all of these limitations by extending the vocabulary: the Arena incorporates elements of \( C \), the subexpression removed from the hole, and the hole’s context into \( V_i \). This preserves any special constants or functions not in the base vocabulary that are part of the user’s intent.

We define a vocabulary extension \( V(C) \cup \text{ext}(T^0) \) that is added to \( V \) as follows:

1. \( V(C) \) is a vocabulary set comprising elements of \( C \):
   - All node labels in \( C \): constants, variables, operators, and functions with their arity in \( C \).
- All subtrees $T \subseteq C$, with $arity(T) = 0$. This is not strictly necessary, as they can be recomposed from the node labels of $C$, but this prioritizes them in a bottom-up enumeration.

(2) $ext(T^0)$ is the context of the hole, comprising the variables whose declaration is on the path from the root of $T^0$ to the hole node in the sketch tree.

The RESL synthesizer prioritizes the elements of $V(C) \cup ext(T^0)$ over elements of $V$ in the synthesis process, making it faster to enumerate programs that are similar to $C$ when replacing $C$.

In the following sections, we describe the implementation of a synthesizer that supports a query of the format $(V, S)$ for $S$ comprising predicates described in Chapter 5.
Chapter 8

Synthesizer Overview

In this section, we describe the implementation of RESL synthesizer. This synthesizer supports synthesis queries of the format described in Chapter 7. While few existing state-of-the-art synthesizers offer sketching as part of their specifications, no synthesizers handle the remaining RESL predicates\(^1\). For the synthesizer to be correct, it needs to accept general predicates (Chapter 5) and input-output examples that refer to the entire program, while synthesizing the completion to the sketch. To do this, we tackle several conceptual obstacles addressed in this section.

We begin by motivating our choice of a bottom-up enumeration and introduce pruning with observational equivalence. Next, we extend OE to observers, predicate-specific value generators, rather than execution values. We also present an optimization that allows for more pruning using some predicates. We then describe the challenge of preserving OE when synthesizing completions to a sketch, and our approach to it. Finally, we observe a limitation of OE that poses an obstacle to handling data-dependent loops (e.g. `reduce()`).

**Running example** We demonstrate the key aspects of our approach on the following example:

Example 8.0.1. a user is using RESL to solve the competitive programming exercise “Sort by Last Char”. They use the Arena to create the sketch \(T^0=\text{input.sort}(\langle a,b \rangle \Rightarrow ?)\), and provide the specification \(S\) shown in Figure 8.1, which is then sent to the synthesizer along with a vocabulary \(\mathcal{V}\).

The `sort` method takes a comparator that encodes the relationship between elements using the sign of its return value. One correct solution for this task is `input.sort((a,b) => a[a.length - 1].localeCompare(b[b.length - 1]))`. The AST of this target program is also shown in Figure 8.1.

8.1 Bottom up synthesis with Observational Equivalence

We now explain the need for bottom-up synthesis for the RESL synthesizer, introduce observational equivalence \([URD^+13, AGK13]\), the existing state-of-the-art in pruning the search space for bottom-up synthesis, and our correctness theorem.

**Why bottom-up** An enumerating synthesizer can construct programs top-down, starting from the root and expanding, or bottom-up, constructing larger programs from smaller ones. A

\(^1\)The syntactic predicates proposed by [PSY18] were never implemented in a synthesizer.
\[ T^0 = \text{input.sort}(\langle a,b \rangle => ?) \]

\[ S = \left\{ \begin{array}{l}
\text{['aba','efg','bcd']} \rightarrow T^0 \ ['aba','bcd','efg'], \\
\text{retain}_{a[a.length - 1]}, \text{exclude}_{a[0]}
\end{array} \right\} \]

Figure 8.1: The running example described in Example 8.0.1. During the enumeration described in Section 8.2, parts of the desired program are discarded (black nodes) and some are never constructed (grey nodes). Consequently, the retain element of the specification cannot be realized unless observers are introduced.

RESL synthesis query contains two elements which need to be handled by the synthesizer, the specification that can include non-example predicates, and the extended vocabulary that can include any language element the user pleases. We considered both approaches, and found that when handling the new specification predicates, bottom-up enumeration allows us to prune the space more effectively, and that it is crucial to handling extended vocabularies.

Top-down synthesizers rely on semantic rules for propagating the example through an AST node, creating specification for its children. The ability of the RESL Arena to extend the vocabulary means that a top-down synthesizer would need to contain such rules for every function and operator in the language and any imported API. In contrast, bottom-up synthesis relies on executing expressions, which means an unfamiliar function can simply be executed.

Additionally, top-down enumeration can only test programs for syntactic elements once they are fully generated: excluded expressions can only be eliminated once the entire excluded tree has been enumerated, meaning it will be enumerated again and again within many other programs. Retained expressions can be added to the vocabulary to optimize their creation (as they can be in bottom-up enumeration), but since they can be non-trivial ASTs, their semantics are not part of the synthesizer, which means the synthesizer cannot deduce whether or not they fit as a missing child, and will always have to try them.

**Synthesis with observational equivalence**

In a bottom-up enumeration, we compose smaller programs to create bigger programs. This means the most effective form of program pruning is to discard programs as early as possible, reducing the number of larger programs that would be constructed. An effective way to reduce the size of the search space is to skip programs that are equivalent to ones already seen. However, checking whether two programs are equivalent over all inputs is generally undecidable. Observational equivalence redefines functional equivalence by limiting it to a few “important” inputs on which programs need to be discernible. In PBE, the inputs from input-output examples provided to the synthesizer are designated as “important”.

For example, given the PBE specification for `isUpper` `⟨'Kirk'→false, 'sulu'→false, 'KHAN'→true⟩`, the programs `input.length` and 4 are observationally equivalent, since they both map the input vector `⟨'Kirk','sulu','KHAN'⟩` to `⟨4,4,4⟩`. Under that assumption, substituting 4 for `input.length` is correct since it is correct for all values in the specification. If this does not align with user intent, they can always provide an additional example with a string of different length.

Unlike equivalence reductions based on semantic information (e.g. [FCD15, SA19]), observational equivalence makes decisions solely based on execution values, making it lightweight to maintain, requiring only the ability to execute programs. A more formal definition appears in Appendix A.

**The correctness of observational equivalence**

We now describe the requirements for the
correctness of observational equivalence. We build upon the correctness proposition by \cite{AGK13} and generalize it. Notice that the correctness standard is that of a partial specification. The full definitions and proofs appear in Appendix B.

Let us denote \( \langle V \rangle \) as the full space of programs that can be constructed from vocabulary \( V \). Additionally, let us denote \( \langle V \rangle^{OE} \) as the OE-reduced space of programs constructed from \( V \) in an enumeration over a given set of inputs.

**Theorem 8.1.** Given a program \( m \in \langle V \rangle \) and a specification (set of predicates) \( S \), if every \( p \in S \) holds for \( m \) then there exists \( m' \in \langle V \rangle^{OE} \) that also satisfies every \( p \in S \).

This theorem states that as long as there is at least one program satisfying all specifications, we will find one. It does not matter which one, as the specification is the only criterion for correctness.

**Remaining challenges** To synthesize the completion to the synthesis query \( (V, S) \) in Example 8.0.1 with an OE-reduced enumeration, we must address two challenges. First, since \( V \) now contains inner-context variables \( a \) and \( b \), in order to enumerate bottom-up, we need to find out the running-values of the inner context variables. Essentially, since \( a \) and \( b \) are loop variables, they are assigned multiple values, and we need them all for OE to be correct. Second, we must ensure the OE-reduction does not make the general predicate specification \( S \) unsatisfiable.

The following subsections will address these challenges, beginning with the predicates.

### 8.2 Synthesis with general predicates

Chapter 5 details the predicates supported by RESL.

In Figure 8.1, the specification includes exclude and retain in addition to the one example predicate: the solution should contain \( a[a.length - 1] \) and should not contain \( a[0] \).

**General predicates and the OE-reduction** Predicates in the specification can interfere with the standard OE reduction. In our example, assume the vocabulary contains the constants 1 and 2. Programs are enumerated in ascending order of height, so the program 2 is encountered long before \( a.length - 1 \). When evaluated on the valuations for input \( a \) and \( b \), both programs yield the value vector \( ⟨2, \ldots, 2⟩ \) (all strings are of length 3) and would therefore be deemed equivalent.

Under an OE-reduction, only one of these programs will be kept. Since it is usually the shortest program, \( a.length - 1 \) will be discarded. As shown in Section 8.1, when dealing with values alone, this decision is correct, as any program that contains \( a.length - 1 \) as a subexpression is observationally equivalent to the same program that uses 2 instead. However, since the new specification contains the requirement that \( a[a.length - 1] \) be a part of the solution, this form of enumeration will not be able to realize it.

Figure 8.1 shows the expected solution. The box denotes the specified required expression. Both \( a.length - 1 \) and \( b.length - 1 \) are deemed equivalent to 2, and thus discarded from the enumeration, meaning neither the boxed expression nor the desired program will ever be constructed.

**Observers** To address this challenge, we extend the existing definition of observational equivalence, replacing the hard-coded use of execution values in determining equivalence with generic...
observers, a customized discriminator between programs that is defined per family of predicates. The observer for a predicate \( p \) is denoted \( \pi_p(m) \), which applied to a program \( m \) returns a value for equivalence. Since the observation for examples is the execution value, we define the observer for an \( \iota \rightarrow o \) predicate to be \( \pi_{\iota \rightarrow o}(m) = \llbracket m \rrbracket(\iota) \).

We provide guidelines for defining observers for specification predicates in Appendix B, and show the correctness of OE holds so long as the observers adhere to two required properties. We now extend our available observers beyond the one for examples.

**Observing predicates** In our example plain observational equivalence will find 2 and \( a.length - 1 \) equivalent, causing \( retain_{a[a.length - 1]} \) to be unsatisfiable. To separate them, we replace the outputs vector used for equivalence with the results of observers, one for each predicate in the specification. While for examples the observer will still yield execution values over an input, observers for other predicates such as \( retain \) and \( exclude \) will return a value designed to separate programs based on the predicate they observe.

The observer for \( retain \), like the observer for examples, is aimed at not losing partial programs that do not yet satisfy the predicate. Programs that satisfy a \( retain \) predicate can be constructed from programs that do not satisfy it, which means that to satisfy them we must ensure that we do not lose their subprograms in the course of the enumeration. E.g., the subexpression \( a.length - 1 \) is thus discarded in Figure 8.1 (denoted with a black circle). Likewise, for \( exclude \) we are interested in this behavior, as it ensures we can create something that is equivalent to the excluded expression by all other predicates, if such a program exists in the space.

Input-output observers already include this behavior—the result of the predicate is not part of the observer, and intermediate values needed to construct the final output value on which the predicate is tested are separated into different equivalence classes.

To replicate this behavior for \( retain \) and \( exclude \), we define its observer like so:

**Definition 8.2.1** (Retain and exclude observers). For \( p=retain_E \) and \( p=exclude_E \), retaining or excluding subtree \( E \), we assign each node in \( E \) an ordinal number, where the root is 1 and all other nodes are assigned a value in the range \( 2..\text{terms}(E) \). We define \( \pi_p(m) = 1 \) if \( E \subseteq m \), \( \pi_p(m) = i \) if \( m \) is equal to the subtree at node \( i \), and 0 otherwise.

Since 2 is not a subexpression of \( a[a.length - 1] \) or vice versa, the observer value is 0. For \( a.length - 1 \), which is a subexpression of the retained expression the observer value will be nonzero, encoding which subexpression. This separates the two expressions into two equivalence classes.

Observing \( require \) and \( prohibit \) requires two values: the observer \( \pi_{require_{(\tau,\iota)}}(m) \) includes both the value of its predicate \( require_{(\tau,\iota)}(m) \) and the type of program \( m \) when evaluated with input \( \iota \). Observing \( prohibit_{(\tau,\iota)} \) is performed in the same way.

Since the purpose of observers is to create greater separation between programs, they increase the number of equivalence classes and number of programs in the space. While this is unfortunate, it is necessary for correctness, and our experiments (Section 9.1) show this increase is tractable.

The correctness proofs for these observers are in Appendix E.

**Negatively-Stable Predicates** Moreover, some general predicates are amenable to further optimization, allowing for a reduction of the space to counteract the separation caused by the
observer. E.g., our specification also contains $exclude_{a[0]}$, denoting that $a[0]$ may not appear in the resulting completion, ruling out overfitted candidates. Once a program contains $a[0]$ and fails the predicate, any program composed from it will also contain $a[0]$ and also fail the predicate. We call such predicates negatively-stable predicates, and prune by discarding any candidate program that fails to satisfy at least one negatively-stable predicate in the specification. This is safe to do, because any larger program that would have been constructed using it would also violate the specification.

The correctness of negatively-stable predicate reduction is in Appendix E.3.

### 8.3 Synthesis inside a sketch

In this subsection, we explain how to extend OE for synthesizing completions to sketches. So far, we assumed that our synthesizer is capable of providing values for the inner variables $a$ and $b$ in Example 8.0.1, and now we must revisit this assumption. We first explore the problem that arises from bottom-up synthesis in an inner context, and then describe our solution.

A naive solution would be to use the results of the completed program $T^0 \Delta C$ as the values for the OE-reduction. The problem is that subprograms of the correct completion are meaningless as a completion themselves, and can cause the synthesizer to fail even when the correct solution exists in the full space (i.e., be incorrect according to Theorem 8.1). To illustrate, in Example 8.0.1, $a.length$ and $b.length$ are both subexpressions of the sort comparator we wish to synthesize. However, each is meaningless as a completion on its own: they will only return 0 or a positive number that does not take the second argument into account at all, resulting in a nonsensical sort. In addition, if all strings in the array are non-empty, both will result in the same nonsensical sort order, leading observational equivalence to find them equivalent. In a statically-typed language, sub-expressions may also be of an incorrect type for the hole, making this approach unfeasable from the get-go.

Even though each completion $C$ enumerated is not a full program, OE is still necessary to keep the process tractable. Since $C$ cannot be assigned into the sketch, we need to evaluate each candidate completion in its appropriate inner context. In Example 8.0.1 this requires values for $a$ and $b$. These values are not part of the input valuation in the examples in $S$. Moreover, since the hole of $T^0$ is inside the $sort$ function, $a$ and $b$ obtain multiple values per execution, which may differ between different completions $C$, as the execution of $sort$ depends on the return value of the sorting function. We must choose values for the variables that represent states that are reachable when executing with the inputs from $S$, because not representing a reachable state may cause us to miss programs.

**Observers and sketches** In order to enumerate the completions, we add the new variables to the execution context, specifically the parameters of higher-order functions. For example, evaluating $sort$, the function is invoked for every pair of elements tested by the implementation. This means the actual set of pairs depends on the return values of previous calls. It is sufficient to consider all element pairs, as that will cover every possible return value of the function parameter and therefore every possible sorting order attempted. When the observer is computed on the completion, this means separating comparator functions by their values rather than the sort
order they induce.

Generally, we define the observer for input-output examples to extend the input context, yielding all reachable valuations for new variables. The OE-reduction is then performed by evaluating the completion on the extended inputs.

Values for a and b allow us to perform the OE-reduction while enumerating the body of the function parameter, so long as we check for the specification on the full completed program. In Appendix C we define context extensions for multiple higher-order functions and their compositions, and provide the full definition of the example observer which extends the context when synthesizing within a sketch.

The ability to fill in sketches through synthesis is useful not only when the user explicitly specifies them, but also when higher-order operations are part of the synthesis vocabulary. In such cases, an outer synthesis loop is used to essentially enumerate sketches, employing observational equivalence for all sub-components except for the function bodies; these remain as holes to be filled by inner synthesis sub-tasks that generate sketch completions.

### 8.4 Extending reduce sketches

A notoriously hard problem in program synthesis is generating loops where there is data dependency between the iterations. Many synthesizers solve the problem partially or using heuristics (examples of this are brought in Appendix D.2). RESL takes the approach of (author?) [SA16, FCD15] and many others, and falls back on a full enumeration in such cases.

Observers and context extension provide a more formal way to examine this problem. Consider `arr.reduce((acc, elem) => ?)`, where the context of the hole contains the accumulator acc whose values are generated by previous iterations. In the previous subsection, we saw a similar problem with `sort`, which we solved by overapproximating the element pairs. However, in this case, the only sound overapproximation will include all the values generated by all programs, which is infinite (for nontrivial vocabularies). One can think of finding all necessary values of the accumulator as a chicken-and-egg problem: we need the values for the accumulator in order to enumerate the programs effectively, but we need the programs to obtain the values. We explore this problem and possible partial solutions in depth in Appendix D.
Chapter 9

Empirical Evaluation

In this section, we detail our empirical evaluation. We tested two questions: (1) Is the change in the number of equivalence classes with different predicate types still tractable? (2) Is the OE-reduction on completions (rather than completed programs) in higher-order function sketches necessary?

Synthesizer Implementation We implemented an enumerating synthesizer for JavaScript programs in Scala, using the J2V8 library [j2v] to execute JavaScript expressions. The available predicates are (1) $\iota \to \tau_0 \circ$, (2) $\text{retain}_E$, (3) $\text{exclude}_E$, and (4) requiring or prohibiting subexpression types as well as concrete values (defined in Appendix E). The first program encountered in a new equivalence class is kept as its representative.

9.1 Effects of predicate type on the OE-reduction

We first measured the effect of predicate selection on the number of OE classes (and thus the number of programs seen when enumerating). Non negatively-stable predicates trivially increase the number of equivalence classes, but we wished to quantify this increase. We also explored the effect of negatively-stable predicates discarding programs from the space. Finally, we explored the effect of mix-and-matching predicates.

Experimental setup We tested 10 numeric and string problems that do not require loops from the competitive programming website CodEWars.com. All problems were synthesized within an empty sketch. Each task was synthesized with four specifications: an example specification $S_1 = \mathcal{E}$, $S_2$ with the addition of negatively-stable predicates to $S_1$, $S_3$ with the addition of non-NSP to $S_1$, and finally $S_4$ that uses $\mathcal{E}^- \subset \mathcal{E}$, which is insufficient to synthesize the target program on its own, in addition to all non-example predicates in $S_2$ and $S_3$. The results are shown in Table 9.1. Benchmark names link to their content and sample benchmarks appear in Appendix F.

Note that while in all cases but one $|S_4| > |S_1|$, past work on predicates [PSY18] found that non-example predicates are easier for users to form than additional examples.

Results Adding NSP to the specification ($S_2$) reduced the number of equivalence classes by 45% on average (14% to 98%, med 36%). The 98% reduction in “isUppercase” is due to the prohibit enforcing that (for an input in $\mathcal{E}^-$) type($v$) is not a Number.
Table 9.1: The impact of non-example predicates on the size of the search space. $S_1 = \mathcal{E}$, $S_2 = \mathcal{E} \cup \text{NSP}$, $S_3 = \mathcal{E} \cup \text{nonNSP}$, $S_4 = \mathcal{E}^- \cup \text{NSP} \cup \text{nonNSP}$. $\mathcal{E}$ is a set of $\iota \rightarrow o$ predicates. $\mathcal{E}^- \subset \mathcal{E}$ is insufficient to reach the target program. For each specification set, progs measures the number of programs enumerated until the result $r$ was reached. Enumeration order is fixed, so changes in progs attest reduction or inflation of the space. Notice that the space enumerated for $S_1$ is the original OE-reduced space from [AGK13]. Completed online signifies the popularity of the benchmark task on codewars.com. $h(r)$ is a shorthand for height$(r)$ (recall that it is zero-based), and $t(r)$ for terms$(r)$.

Adding non-NSP to the specification ($S_3$) inflated the number of equivalence classes constructed by 8.4% on average (0.3% to 48.1%, med 3.4%). The top 3 increases are probably due to retain: “removeFirstLastLetter” (48.1%), “rotateString” (15.4%) and “isUppercase” (4.5%). However, retain is used in 7 of the 10 benchmarks, and in the overwhelming majority of cases, its effect is barely felt.

An assortment of predicates ($S_4$) resulted in an average 61% reduction (17% to 98%, med 64%) in the number of equivalence classes from $S_1$. Surprisingly, even though each $S_4$ includes at least one non-NSP, an OE-reduction with $S_4$ still had a fewer equivalence classes than with $S_2$ in all but two benchmarks: an average 23.8% reduction (med 10.1%, and max 80% fewer).

**Conclusion** We conclude that all our predicates are feasible for synthesis, and computational demands should not limit the user. Even the “wasteful” retain only causes a large increase in equivalence classes in one of the benchmarks, despite being used in almost all of them. Also, encouraging users to mix-and-match the specification is as beneficial as restricting them to NSP.

### 9.2 Necessity of OE on the completion

Next, we gauged the importance of performing the OE-reduction on the completion when synthesizing a solution to a sketch.

**Experimental setup** We synthesized the solution to 11 problems curated from the list comprehension sections of competitive programming and instructional sites, selected for having a solution of the form `map.f(?)` where $f$ is `map`, `groupBy` (imported from lodash [lod]), `filter`, or `sort`, with no additional higher-order functions in the function parameter. Each problem was provided as a specification of input-output examples $\mathcal{E}$ and the sketch `input.f(?)`. The JavaScript implementations of `map` and `filter` allow for several different signatures for the function parameter, each introducing a different number of new variables ($V$); this number is
Table 9.2: Performing the OE-reduction on the completion vs. the full program for sketches of the form $f(\cdot), f \in \{\text{map, groupBy, filter, sort}\}$. $|V|$ is the number of new variables in the extended context. “Programs remaining” tells how many programs were not discarded by OE-reduction (on the full program). The right column shows the AST of the target program: black nodes denote discarded subtrees, and grey nodes are subtrees that were never constructed. Thus a grey or black root means that the target program was lost.

| benchmark name        | $|E|$ | $|V|$ | terms(res) | $|\text{extend}(E)|$ | programs enumerated until target | OE on completion | OE on full program |
|-----------------------|-----|-----|------------|---------------------|---------------------------------|-----------------|--------------------|
| plusOneTimesTen       | 3   | 2   | 5          | 9                   | 412                             | 412             |                    |
| noX                   | 3   | 1   | 5          | 7                   | 72192                           | 72192           |                    |
| groupPeopleByAge      | 1   | 1   | 2          | 3                   | 101                             | 89              |                    |
| groupByXCoord         | 1   | 1   | 3          | 3                   | 7916                            | 6778            |                    |
| groupByOddEven        | 2   | 1   | 5          | 6                   | 34954                           | 26022           |                    |
| noLongWords           | 3   | 1   | 4          | 8                   | 6821                            | 2               |                    |
| noTeen                | 3   | 1   | 6          | 7                   | 6392                            | 2               |                    |
| dedupInOrder          | 3   | 3   | 5          | 22                  | 60253                           | 10              |                    |
| noLongWords           | 3   | 1   | 4          | 8                   | 6821                            | 2               |                    |
| noTeen                | 3   | 1   | 6          | 7                   | 6392                            | 2               |                    |
| dedupInOrder          | 3   | 3   | 5          | 22                  | 60253                           | 10              |                    |
| sortNumbers           | 4   | 2   | 3          | 33                  | 117                             | 13              |                    |
| sortByProperty        | 3   | 2   | 5          | 24                  | 2579                            | 2               |                    |
| sortByStringLength    | 2   | 2   | 5          | 14                  | 7895                            | 2               |                    |

Table 9.2: Performing the OE-reduction on the completion vs. the full program for sketches of the form $f(\cdot), f \in \{\text{map, groupBy, filter, sort}\}$. $|V|$ is the number of new variables in the extended context. “Programs remaining” tells how many programs were not discarded by OE-reduction (on the full program). The right column shows the AST of the target program: black nodes denote discarded subtrees, and grey nodes are subtrees that were never constructed. Thus a grey or black root means that the target program was lost.

We enumerated the space until the target completion and compared the number of equivalence classes in an OE-reduction on the extended inputs to the number of equivalence classes in an OE-reduction on the completed program and original inputs. In addition, we checked which components of the target program were discarded as equivalent when the reduction is performed on the full program. The results are shown in Table 9.2. Benchmark names are links to the benchmarks.

**Results** When $f$ is map the number of equivalence classes in both enumerations is equal, because the result of map is exactly the execution values of the completion. When $f$ is groupBy, OE on the full program caused some type coercion: groupBy returns a JavaScript Object, whose keys are coerced to strings. In 2 of 3 groupBy benchmarks, this caused the space that was OE-reduced on the full program to be slightly smaller than that reduced on the completion with no elements of the target program lost, but in “groupByXCoord”, a subexpression of the result was discarded, so the target program was never constructed. For filter, the drop in size of the space from OE-reduction on the completion to the full program is three orders of magnitude, and in every benchmark most or all subexpressions of the target program were discarded when reducing on the full program. For sort, 2 of 3 benchmarks behaved similarly, but one succeeded when reducing on the full program, aided by the fact the elements are numbers and the target program $a - b$ is very small.

**Conclusion** We conclude that performing the OE-reduction on the synthesized completion, using extended inputs, is *crucial* to the success of the synthesis task. The loss of programs to
type coercion upon assignment and unexpected behaviors when executing on intermediate values (itself a feature of JavaScript’s fault-avoidance, manifesting as an inability to test the program in stricter languages) is too high for even the pretense of correctness.
Chapter 10

User Study

RESL offers programmers a model for working within an unfamiliar ecosystem, e.g., an unfamiliar language. To evaluate our approach we conducted a controlled user study where experienced programmers who are JavaScript novices used JavaScript with and without RESL. In this section, we evaluate the effect RESL had on the success of the development process.

The study consisted of a control interface (REPL) and one of two treatments:

(T1) RESL: Using a fully-featured Arena that queries a synthesizer

(T2) REDL (Read-Eval-Debug Loop): The RESL Arena without synthesis, allowing only editing the program, adding and modifying examples, and viewing debug information on programs.

T2 isolates the influence of debug information and evaluation on multiple inputs in the performance of the users. This way, comparison between T1 and T2 shows the effect of synthesis itself.

Implementation We implemented a full Read-Eval-Synth Loop for JavaScript programs. The RESL Arena was implemented as a a web front-end querying a synthesis server. The Arena allows entering programs, viewing debug information on sub-expressions, and controlling the predicates and sketch of a synthesize operation, as detailed in Chapter 6. Details of the synthesizer implementation appear in Chapter 9. REDL was implemented by disabling all synthesis-related features in the Arena.

Synthesis queries started with $|\mathcal{V}| = 33$ (7 constants and 26 functions), extended into $\mathcal{V}(C)$ as specified in Chapter 7. Functions taking a callback such as `map` or `filter` were excluded from $\mathcal{V}$ to reduce the size of the space, but could be introduced by the user, as could any function in vanilla JavaScript. To keep interaction sessions feasible, queries timed out at 20 seconds, a manageable task interruption [OS06]. The synthesis server ran on a `c5n.4xlarge` AWS instance, with 16 cores of 3.0 GHz Intel Xeon Platinum, 42 GiB RAM, on Amazon Linux 2.

10.1 Experimental Setup

Our study consisted of 19 experienced industry programmers (4-40 years of programming experience, average 14.2, median 13) working in 8 different companies, recruited by answering a notice for programmers who cannot program in JavaScript at all. Participants claimed proficiency
in Python (58%), C++ (42%), C# (31%), Java (21%), C (16%), SQL (10%), Go (5%), Bash (5%), Matlab (5%), and Labview (6%) (numbers do not add up to 100% as most listed multiple languages).

Each participant was randomly assigned to one of four groups:

1. REPL (control), then RESL (T1): 4 participants
2. RESL (T1), then REPL (control): 6 participants
3. REPL (control), then REDL (T2): 4 participants
4. REDL (T2), then REPL (control): 5 participants

Each participant was asked to solve four JavaScript competitive programming tasks from codewars.com, detailed below, two questions per tool, in one of two orders. Before using each tool, participants were shown a short demo. For REPL, this included executing a one-liner, assigning to a variable in the global scope, and the program history. For REDL, this included adding and editing inputs in the Arena, executing a one-liner, the output and expected output views, and viewing subexpression debug information. For RESL, participants were shown the example from Section 3.1.

Tasks were shown to participants one by one, and participants moved to the next task either when they believed their answer is correct or when they gave up the task. No timeout was enforced.

Users had full access to the MDN [mdn], Mozilla’s JavaScript documentation, including its internal search, and had the MDN entries for `map`, `filter`, and `sort` initially open. No other websites, including search engines, were allowed; the rationale being that the purpose of documentation in the study was to introduce JavaScript basic concepts and the function usage, rather than searching online for a partial or full solution. The tasks tested are used in competitions and interviews and as such are discussed online and have full solutions available.

**Problem set** We selected four tasks that are too difficult for our synthesizer to solve in full. The tasks are taken from the competitive programming website codewars.com, containing two numeric problems and two string problems, and are from the first three difficulty levels (of 8 available) on the site. Each problem was presented to the users as a one-liner task, increasing its difficulty—sqdigit appears on the site once with a difficulty level of 2 and once as a one-liner task with a difficulty level of 3. All tasks included at least one example in the task description.

The tasks are “Title Case” (title, difficulty: 3), “numbers divisible by given number” (divisible, difficulty: 1), “Alphabetically ordered” (ordered, difficulty: 2), and “Square every digit” (sqdigit, difficulty: 3). Task names are links to the original task.

The implementation and anonymized study session transcripts will be released upon publication.

10.2 Research Questions

(1) Does RESL reduce the editing load on the programmer? Ideally, a RESL user will have to write less code, easing their foray into an unfamiliar ecosystem. We test this question via two metrics: the number of edit iterations (i.e., programs entered into the Arena or evaluated in the REPL) the user performed during the session, including syntactically
Table 10.1: Editing load for the programmer: average and median number of iterations where the user edited the program when performing each task. For RESL, the number of synthesize calls and portion of the result that was synthesized are also indicated. In three of four tasks, RESL reduces the editing load. In divisible, the easiest task, the editing load of solving the task manually was light for all users. Many RESL users wrote the program in full leading to median 0% synthesized.

(2) Does RESL assist in bridging knowledge gaps when solving sub-tasks? Programmers are adept at breaking a problem up into subproblems made up of familiar concepts. Since RESL cannot solve the entire task for any of the tasks users must divide it into sub-tasks themselves. To a JavaScript novice, each of these involves translating a familiar idea into unfamiliar operations. We test this question by measuring the time spent consulting documentation.

(3) Does RESL reduce programmer frustration? We choose to examine this question not with a survey but with an empirical measure of frustration: how many of the sessions in each group were abandoned by the user.

(4) Does RESL speed up a programmer’s time to solution? We test this question by comparing the times of RESL sessions to REPL sessions in two slices. Since knowledge transfer from session to session needs to be accounted for, we perform two between-subjects comparisons of the two halves of the within-subjects experiment (e.g., users who performed sqdigit second will be compared to each other and not to users who performed sqdigit fourth).

(5) Are RESL users correct? Using RESL (or just a REPL) is an iterative process driven by the programmer, who is responsible for deciding when the target program has been reached. We test whether RESL users can reach a correct program and whether they do so better than REPL users.

(6) Does using RESL improve the user’s knowledge of JavaScript? We perform a between-subjects comparison of sessions of users who used REPL second, either after REDL or RESL. We consider differences in time, edits, need for documentation, abandoning the session, and correctness.

10.3 Results

RQ1: Does RESL reduce the editing load on the programmer? The average and median number of user edit iterations, as well as the percentage of the result that was synthesized
Table 10.2: Average and median times (seconds) participants spent browsing documentation. In RESL and REDL, also the time spent viewing debug information. Documentation times are greatly reduced in RESL.

(measured in terms) are shown in Table 10.1. The number of edits was reduced by a third or more between REPL and RESL in 3 out of the 4 tasks. In all three tasks, REDL sessions had a small reduction of edits compared to REPL, but the reduction from REDL to RESL was even larger, attributing the reduction to the synthesis features of RESL. In addition, the lower number of edit iterations also corresponds to large portions of the final program being produced by the synthesizer in RESL sessions. In title, as much as 93% and 96% of the final program was synthesized.

In divisible, the easiest of the tasks, there is no discernible difference between the groups. Generally, there was far less advantage to using the synthesizer in this task, due to a combination of a task that was easy enough that most users solved it quickly without use of the synthesizer, and necessary program elements only available via $\mathcal{V}(C)$. The only user who made use of the synthesizer for this task was one who was unfamiliar with the JavaScript modulo operator.

We answer question 1 in the affirmative: users of RESL take fewer edits to arrive at a target program, and write less of it themselves.

**RQ2: Does RESL bridge knowledge gaps?** Times users spent browsing documentation and exploring debug information appear in Table 10.2. In all tasks but divisible, RESL users consulted documentation far less than REDL and REPL users. Several RESL users went as far as to use no documentation at all within a given task (2 in ordered, 1 in sqcode, and 3 in divisible). This is compared to no REDL users, and 2 REPL users solving divisible. Additionally, REDL was an improvement over REPL in only one task, and only marginally, so we attribute this improvement to synthesis rather than the debug information.

We therefore answer question 2 in the affirmative: RESL bridges the knowledge gap of novice users in place of the documentation.

**RQ3: Does RESL reduce programmer frustration?** We measure frustration empirically by looking at when users abandoned a task unfinished. Abandoned sessions are indicated in white in Figure 10.1. While some REPL users abandoned their task, no RESL user abandoned a task.

Additionally, we see that REDL actually frustrated users more, not less, than REPL, from which we conclude synthesis, not debug information, is responsible for users persisting. REDL users were actually more likely to pinpoint a problem (e.g., “I see that comparing two arrays is doing some sort of Object.is, rather than a value comparison”) and then decide to abandon the task because they cannot find the solution to it.

We therefore answer question 3 in the affirmative: RESL users are less frustrated and
Figure 10.1: Number and cumulative percentage of users who correctly completed a task (solid), completed a task (dashed), and abandoned the task (white) in each of the tools. No RESL users abandoned a task.

Table 10.3: Median time to perform task (seconds) for RESL, REPL, and completed REPL sessions, by task order in the session. For each task, row 1 shows times from sessions where the task was first or second, and row 2 sessions where the task was third or fourth.

<table>
<thead>
<tr>
<th>ordered</th>
<th>place</th>
<th>RESL</th>
<th>REPL</th>
<th>REPL completed</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>1061</td>
<td>136</td>
<td>1136</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>319</td>
<td>179</td>
<td>172</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>sqdigit</th>
<th>place</th>
<th>RESL</th>
<th>REPL</th>
<th>REPL completed</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>2</td>
<td>592</td>
<td>491</td>
<td>491</td>
</tr>
<tr>
<td>4</td>
<td>4</td>
<td>689</td>
<td>240</td>
<td>240</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>title</th>
<th>place</th>
<th>RESL</th>
<th>REPL</th>
<th>REPL completed</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>1590</td>
<td>1671</td>
<td>1434</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>925</td>
<td>1162</td>
<td>940</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>divisible</th>
<th>place</th>
<th>RESL</th>
<th>REPL</th>
<th>REPL completed</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>2</td>
<td>576</td>
<td>252</td>
<td>252</td>
</tr>
<tr>
<td>4</td>
<td>4</td>
<td>154</td>
<td>166</td>
<td>166</td>
</tr>
</tbody>
</table>

**RQ4: Does RESL speed up time to solution?** The lengths of sessions (in seconds) appear in Table 10.3. The table contains both data for all REPL sessions and completed REPL sessions, excluding sessions abandoned by the user. Abandoned sessions also include sessions that were immediately abandoned, but typically were longer than finished sessions.

We perform a between-subjects comparison of the tasks when performed in the first half of the experiment (i.e., first or second), and those performed in the second half (i.e., third or fourth). This normalizes for JavaScript experience users acquired as the experiment progressed; times for the same task were generally shorter when performed later in the session.

Of the 8 sets examined (4 tasks and two possible positions in the sessions for each task), RESL was faster for 3 of the 8 ((ordered, 1), (title, 3), and (divisible, 4)). In one additional set, (title, 1), (as seen in Figure 10.1, title is the most abandoned task by REPL users), RESL users were not faster than REPL users who completed the task, but faster than the median REPL session.

While some of a RESL session is spent waiting for a synthesize call to return (up to 20 seconds per synthesize call), the total time is still more in half the cases. We therefore conclude RESL does not conclusively change the time to solution, but this may change with a faster synthesizer.

**RQ5: Are RESL users correct?** We define correctness of a solution as passing all examples in the task and a few additional tests for edge cases (e.g., empty strings or lists). The portions of correct answers appear in Figure 10.1 as the "correct" (solid color) bars.

In 3 of the 4 tasks, all RESL users arrived at the right answer. In title, the most challenging of the four, one RESL user finished the session with an incorrect program. This is compared to the high percentages of both uncompleted and completed but incorrect sessions in both REDL and REPL.

REPL users were more likely to declare they had finished when the solution was incorrect, whereas REDL users were more likely to give up. As noted in RQ3, this is likely related to REDL users discovering a problem using debug information, but failing to solve it on their own. Unfortunately, not enough REDL sessions were completed to allow us to analyze their
correctness with any certainty, so can only hypothesize that the correctness of RESL users is the result of debug information.

Though we do not know which of the components is responsible, we answer question 5 in the affirmative: RESL users arrive at a correct program far more often than REPL users.

**RQ6: Does RESL improve knowledge of JavaScript?** To answer this question we performed a between-subjects analysis of the REPL portion of the session in groups (2) and (4)—users who used the REPL second, after RESL or after REDL.

The total time to solution, number of edit iterations, and correctness do not change between the two groups in three out of the four tasks. In title, the most challenging of the four tasks, users who started with RESL had considerably longer REPL sessions than users who started with REDL, and they performed an order of magnitude more edits. Time spent browsing documentation increased considerably for all tasks in sessions of users who started with RESL.

However, users who used the REPL after RESL were far less likely to abandon the task they were performing, and those who abandoned their task did so after almost twice as long (also accounting for the longer session times and larger number of edits).

We conclude from this several things: (i) using RESL for two tasks does not translate into the same level of knowledge as solving two tasks manually; (ii) having seen JavaScript functions in RESL sessions does not translate into less need for documentation when approaching tasks alone; and (iii) previous successes from using RESL do translate into persistence when approaching new tasks unaided. Although (iii) is an encouraging result for novices using RESL, we still answer question 6 in the negative: users do not learn JavaScript from using RESL.

### 10.4 Discussion

Even though some of our metrics were not improved (though not worsened) by RESL, the contribution of RESL to the users was very valuable on several fronts.

**Reducing the mental load** The RESL Arena includes two main components intended to reduce the mental load on the user: synthesis and the ability to view debug information on subexpressions.

Synthesis is directly related to reducing effort, as it can fill in some of the code. Conveying intent through specifications for synthesis proved easier than through documentation search queries, and was also faster.

Debug information was originally introduced to allow users to understand the synthesized programs (which are unfamiliar code). In practice, most synthesized programs were easily readable to programmers, so this use was less frequent than expected. REDL users used it much more frequently, both to pinpoint runtime errors in their own code, and to locate bugs that stem from lack of familiarity with JS, but this did not reduce their frustration; if anything, it caused more frustration over finding them but being unable to fix them.

**Knowledge Transfer** The results of RQ6 indicate that RESL is not a good pedagogical tool. This is not wholly unexpected, as in pedagogical terms synthesized steps are “bottom-out hints” (hints that simply tell a student what the next step is) [Van06, SSG+17].
While users did not learn more JavaScript from using RESL, we note two important things about its use. First, RESL enables programmers to tackle small tasks in a language they are not familiar with, when learning the language is not the objective. Second, successes using RESL had a lingering effect, reducing the drop-out rate of users later on, when they were programming with a REPL. Cautiously generalizing from this, we may expect users who used RESL for a one-time task and must return to the code to be less frustrated and more persistent than ones who tackle such tasks alone.

**Usage of predicates and sketches** RESL provides an expressive specification mechanism through predicates and sketches. Both features were used across RESL sessions, as appears in Appendix G. Most users created a sketch using the Arena at least once, marking both trivial and complex expressions to be replaced (avg 3 terms). In addition, 7 of 10 RESL users used non-example predicates (of the other 3, one did not use the synthesizer at all). Most users used `retain`, retaining expressions of 3-11 terms. `exclude` was not used at all; we believe this is because users perceived it as a “dangerous” action. This may change with more use. Type constraints were most frequently used in the `title` task, a string manipulation task and therefore susceptible to JavaScript’s type coercion, of the kind shown in Section 9.2. This variation shows the need for a large toolbox of specifications, so that the user may choose the most useful one per the specific scenario.
10.5 Threats to Validity

*Lack of experience with RESL* Participants were not only using JavaScript for the first time, but also using RESL for the first time. This means they had no opportunity to take in the features and recommended usage before the test session, as opposed to a REPL which most had used before. In other words, they constructed their mental model of RESL during the course of the experiment. Some users attested to this after their session, claiming they would have used synthesis more had they understood or felt comfortable with it. This also meant users were learning on the go what size sub-tasks RESL could handle, and made some overly-optimistic calls to the synthesizer.

*Size of study* A within-subjects study, while helping normalize the great variance in the experience of participants, means that each of the tasks for RESL and REDL had only 4 or 5 participants. Additionally, the large number of uncompleted REDL sessions does not allow us to generalize the behavior of REDL users and better understand RESL beyond mere hypothesizing.

*Differences of experience* Though group assignment was random, user expertise and experience as programmers may be distributed unevenly. Experience in weakly typed or functional languages may have helped users decompose the task better or search the documentation more effectively.
Chapter 11

Related Work

Syntax-guided synthesis [ABJ+15] is the problem formulation where the synthesizer is presented with a specification and a grammar defining the candidate program space. It is also used to describe synthesis where the target program is constructed by syntax rules. [ISSL+16, URD+13, FN19, WCB17, CN16] all fall within this scope. The RESL synthesizer accepts a vocabulary and a specification, adhering strictly to the definition by (author?) [ABJ+15]. Additionally, RESL’s vocabulary is not fixed, but extended into $\mathcal{V}(C)$ with code entered by the user. This also allows us to circumvent the overfitting problem raised by (author?) [PMNS19] by extending an initial small vocabulary only as necessary.

Sketching The RESL Synthesize operation attempts to replace a subexpression in an incomplete program with a new expression. In this aspect, it is an instance of sketching (inspired by Sketch [SLJB08] but separate from it), the paradigm of giving the synthesizer a program skeleton with a missing piece or pieces, a frequent technique to reduce the candidate space [SGF10, SGF13, HK17, WSMK18, HZWK18]. Previous work using sketching made use of sketching to reduce the search space via a well-known sketch. (author?) [BTGC16] and (author?) [CP17] create a sketch internal to the algorithm and complete it. Making a hole in RESL is dynamic sketch creation that is part of the interaction with the user. To our knowledge we are the first to support these.

Examples and other predicates RESL also extends Programming by Example, a frequent technique in program synthesis leveraging either user-provided input-outputs [WCB17, OZ15, FCD15, Gull16, Gull11, Gull12, PG15, YWD18], tests [FMW+17b], or guided abstractions of user-provided examples [WDS17a]. RESL also uses the granular predicates on programs retain and exclude [PSY18, PIS18]. Unlike [PIS18], the RESL session is not monotonic, as predicates cannot be accumulated when the user is permitted to edit the program.

Interaction models for synthesis Few synthesis projects explore usability. Sketch-n-Sketch [CHSA16, MKC18, HLC19] provides an interaction model for repair driven by user changes to visual objects in the output, and interleaves repairs and program edits. Roussillon [CMB18] introduces a Programming by Demonstration interaction model for web scraping that guides the user in demonstrating in an order that allows loops to be generalized from a single demonstration. Like them, RESL was created with the user of the tool firmly in mind, but is aimed at programmers rather than domain-specific end-users, choosing a REPL as an
interaction model to enrich. (author?) [ENP+19] is a REPL-driven synthesizer; however, their interaction loop is between search the algorithm and the interpreter, rather than with the user.

**Program repair** Automatic program repair [GMM19] attempts to fix a defective program based on a specification or a failing test [LR15, XWY+17, LCL+17]. A major component of repair is *fault localization*, identifying the location where repair should be applied [vTLG18, MYR16]. While RESL can be viewed as an inline repair tool, its fault localization is not automatic, but rather human-driven. Some repair tools [KKK15, DLCL+17, LR15] rely on program synthesis in order to generate the repair, rather than predefined mutations. For example, in (author?) [DLCL+17], like in RESL, The repair program space is defined by the original repaired expression.

**Reduction of the candidate space** Reducing the number of programs a synthesizer considers is one of the major challenges of program synthesis. (author?) [Gul16] and (author?) [FN19] restrict both the DSL used for synthesis and how its operators are composed, making DSL design a key component of the solution. [WDS17b, WDS17a] reduce finding a program in a DSL to finding an accepting path in a tree automaton of the examples, then reduce the space further via an abstraction refinement loop. (author?) [PS19] use separation logic proof steps to limit the space to programs that follow the proof. (author?) [FMW+17a, GJJ+19] use a Petri-net of type signatures to limit the space to reachable paths in the net.
Chapter 12

Conclusion

We present a novel unified software synthesis framework that performs an observational equivalence reduction on completions to sketches. Our framework generalizes observational equivalence, originally defined only for examples, to allow bottom-up synthesis that (i) extends inputs into inner contexts, allowing sketches to appear inside higher-order functions, and (ii) allows the use of general predicates. Our framework uses observers, which encode the difference between programs in relation to a specific predicate, and extends observational equivalence pruning method to use observer values rather than execution values.

We instantiated the framework with observers for both completions to sketches that create inner contexts (e.g., inside a higher-order function) and a range of general predicates, and showed that it allows synthesizing challenging, real-world problems. Our experiments showed both that our modifications are crucial to the correctness of the synthesis procedure, and that the new observational equivalence is still easy to compute.

We also present RESL, an interaction-model for bringing synthesis into the immediate feedback loop of the REPL. RESL extends REPL by providing the user with an interactive environment accompanied by our synthesis framework. The user can define a partial solution, introduce a sketch, or supply multiple general predicates such as input-output examples, syntactic predicates, or type constraints. The framework can then synthesize a completion efficiently for the user to assess, and either approve or start another synthesis iteration.

We performed a user study on 19 programmers from 8 companies, who solved 4 competitive programming tasks in JavaScript with and without RESL. Our study showed that programmers using RESL solve tasks with far fewer attempts, with less need to consult documentation as they work, do not abandon the task unfinished, and are more likely to arrive at a correct solution. While RESL is likely not useful as a pedagogic tool, as there is no indication that programmers who used it acquired knowledge in JavaScript, it is valuable to programmers performing occasional tasks in unfamiliar languages.

In developing our framework, we also explored the peculiar case of reduce, and showed that it is unsuitable for observational equivalence. Our framework identifies sketches inside reduce and disables observational-equivalence pruning for a less-effective, normal-form pruning. The problem of pruning as effectively as observational-equivalence in reduce operations in bottom-up synthesis remains open.
Appendix A

Bottom-Up Synthesis with Observational Equivalence

In this section we define observational equivalence in different ways.

A.1 Syntactically Enumerated OE

We now describe the observational equivalence reduction in the way that is most relevant to this thesis.

The candidate program space spanned by a vocabulary $\mathcal{V}$ is the space of all programs the synthesizer can create from the vocabulary.

**Definition A.1.1.** We define the program space in an inductive manner over the height of the programs:

$\langle \mathcal{V}\rangle_{(h+1)} = \{ f(p_1...p_k) | k = \text{arity}(f), f \in \mathcal{V}, p_1...p_k \in \langle \mathcal{V}\rangle_{(h)} \}$

Then we can define the full space, denoted $\langle \mathcal{V}\rangle \equiv \bigcup_{h \geq 0} \langle \mathcal{V}\rangle_{(h)}$

We say that a candidate space is *specification realizing* for a user-provided specification $S$ if it contains a program $m$ such that $m \models S$. Naively, the search for a program would simply be an enumeration through an already-constructed $\langle \mathcal{V}\rangle$ (since it is independent of $S$), looking for some program that satisfies $S$. Since the space is easily very large or infinite, we typically enumerate the space by constructing and examining programs in $\langle \mathcal{V}\rangle_{(0)}$ in order, then in $\langle \mathcal{V}\rangle_{(1)}$, and so on until either such $m$ is found or some stopping condition (usually maximal depth or a timeout) is reached.

We define the candidate space with observational equivalence by induction on the height of the programs’ ASTs:

**Definition A.1.2.** Given a set of input valuations $I = \{i_1, \ldots, i_n\}$ and a set of programs $\mathcal{P}$, we define the equivalence relation $\equiv_I$ as $m_1 \equiv_I m_2 \iff \forall i \in I, \llbracket m_1 \rrbracket(i) = \llbracket m_2 \rrbracket(i)$.

Assume some function $s(c)$ that selects a representative from an equivalence class $c$, we define $oe(I, \mathcal{P})$, which performs *equivalence reduction* of a set of programs using $\equiv_I$:

$$oe(I, \mathcal{P}) = \{ s(c) | c \in \mathcal{P}/ \equiv_I \}$$
We can then define the candidate space under observational equivalence:

**Definition A.1.3.** We define the OE-reduced candidate program space $\langle V \rangle_{OE}^{h}$ to be $\bigcup_{h \geq 0} \langle V \rangle_{OE}^{h}$, with $\langle V \rangle_{OE}^{h}$ defined inductively as follows:

\[
\langle V \rangle_{OE}^{(0)} = oe(I, \{t \in V \mid \text{arity}(t) = 0\})
\]
\[
\langle V \rangle_{OE}^{(n+1)} = \langle V \rangle_{OE}^{(n)} \cup oe(I, \{t(c_1, \ldots, c_k) \mid t \in V, k = \text{arity}(t) > 0, c_1, \ldots, c_k \in \langle V \rangle_{OE}^{(n)},
\exists i. \text{height}(c_i) = n, \forall m \in \langle V \rangle_{OE}^{(n)}, t(c_1, \ldots, c_k) \not\equiv_I m\})
\]

Each $\langle V \rangle_{OE}^{(h)}$, unreduced, will get too big to compute and store even for heights as low as $h = 3$. In addition, no part of the enumeration can be pre-computed offline, as the space is tightly coupled with specification; $\equiv_I$ is based on the examples in $S$. This means we must perform the OE-reduction as we go along. The easiest solution is to discard a program if an observationally-equivalent program has already been constructed, either of the same or of lower height.

In addition to Definition A.1.3, there are other ways to view observational equivalence. Essentially these different ways are all equivalent, though some may make different heuristics easier to implement.

### A.2 A function from values to values

Observational equivalence can also be viewed as a labeled, non-simple, directed multi-hypergraph whose vertices are value vectors that can be computed by programs, and whose hyperedges are operations from the vocabulary. Edge sources are vectors of vertices (rather than sets in an ordinary hypergraph) and they each have a single target. This is the technique used in works such as [PTBD16].

**Example A.2.1.** Given the vocabulary $V = \{0, 1, \text{input}, +, *\}$ and inputs vector ($\{\text{input} \mapsto 0\}, \{\text{input} \mapsto 1\}$), we construct the values graph in Figure A.1, to be understood as follows:

Vocabulary elements with arity 0 (the literals 0 and 1 and the variable input) generate the initial vertices $(0, 0)$, $(1, 1)$, and $(0, 1)$ (respectively). For every element in the vocabulary $f$ of
arity \( k \), we select a vector \( \bar{u} \) of \( k \) vertices (ordered, allowing repetition) and create a hyperedge labeled \( f \) from \( \bar{u} \) to the value vector of \( f(\bar{u}) \). In our example, applying + to \( \bar{u} = \langle (0, 1), (1, 1) \rangle \) yields \( (1, 2) \), which we add as a new vertex connected to \((0, 1)\) and \((1, 1)\) by a hyperedge labeled +. Likewise, applying + to \( \bar{u} = \langle (0, 0), (1, 1) \rangle \) yields \( (1, 1) \), which already exists, adding a hyperedge labeled + from \( \bar{u} \) to \((1, 1)\).

In this representation, all possible value vectors generated by \( \langle V \rangle \) are present as vertices.

Notice that the reduction based on values is implicit in this view, and selecting a program once the desired value is reached is done by recursively decomposing each value, selecting one of the operations leading to it. In an ideal implementation, all edges (operations) are kept, which means a decomposition can apply any selection criterion. In a realistic implementation, however, the number of programs that lead to a value vector can be enormous (in the example, consider * applied to any vertex and \((0, 0)\)). New incoming edges to an existing vertex (i.e., DFS back edges) found during graph discovery are likely to be discarded, yielding a space in which each recursive expansion has only a single option, replicating the result of definition A.1.3. What is easier to do in this representation is to swap the expression leading to a value vector. E.g, during exploration, the procedure may decide \((2, 2) \ast (2, 2)\) is a better representative of \((4, 4)\) than \((2, 2) + (2, 2)\), and, when a hyperedge leads to an existing node, keep the new edge and remove the old one according to some criterion.

### A.3 Equivalence by extensional equality

It is common to define equivalence by indistinguishability under all predicates in some space. Specifically, given the formulation of input-output examples in Chapter 5, we consider the set of all possible example predicates \( E = \{ \iota \rightarrow o \mid i, o \in \text{concrete values} \} \), functional equivalence of programs can be defined as \( m_1 \equiv m_2 \iff \forall p \in E. p(m_1) \leftrightarrow p(m_2) \).

To modify this equivalence to match that of observational equivalence, we wish to only use a strict subset of \( E \), based on a user specification \( S \).

We can divide the space of programs into equivalence classes based on the truth values of a specification, a finite number of predicates: \( m_1 \equiv_S m_2 \iff \forall p \in S. p(m_1) \leftrightarrow p(m_2) \). This is essentially predicate abstraction [CKSY04]. However, this division of the space, while able to distinguish between programs that satisfy \( S \) and those that do not, is not useful for pruning the space in the course of a synthesis process. The very coarse partition created may unify all programs that do not satisfy some predicate \( \iota \rightarrow o \). Specifically, it coalesces programs that are not interchangeable within a larger program; for example, 0 and 1 both violate the specification \( 0 \rightarrow 2 \), however \( 1 + 1 \) satisfies it while \( 0 + 0 \) does not.

In order to create a usable partition of the space, we define the following \( S' = \{ \iota \rightarrow o' \mid (\iota \rightarrow o) \in S, o' \in \text{concrete values} \} \). Although the set is infinite, we can dynamically track the set of equivalence classes encountered during an enumeration by collecting new predicates that are satisfied by some program into \( S'' \subseteq S' \). Since outputs that are not seen correspond to predicates that are not satisfied by any explored program, \( (\equiv_S) = (\equiv_{S''}) \).

This definition is the one most easily extendable to other classes of predicates over programs, as presented in Section 8.2 and formalized in Appendices B and E.
Appendix B

Correctness of the OE-Reduction

In this section, we describe the requirements for the correctness of observational equivalence. We add rigor to the correctness proposition by [author?] [AGK13] and generalize it. Later sections will check our extensions of observational equivalence against this definition and theorem.

Definition B.0.1 (Observer). Let every predicate \( p \) be associated a function \( \pi_p : P \rightarrow K \), called its observer. It maps programs to observations from some range \( K \), by which observational equivalence is to be determined. Intuitively, if \( \pi_p(\text{m}_1) \neq \pi_p(\text{m}_2) \), then \( \text{m}_1 \) and \( \text{m}_2 \) are not observationally equivalent. \( K \) can consist of any number of possible outcomes—computed values, errors, side effects, etc.

For the input-output predicates \( \iota \rightarrow o \), the observers are \( \pi_{\iota \rightarrow o}(m) = [m](\iota) \). This is generalized in Appendices C.2 and E.

We require two properties of observers:

(O1) Interchangeability: For any two programs \( \text{m}_1, \text{m}_2 \), if \( \pi_p(\text{m}_1) = \pi_p(\text{m}_2) \), then for any sketch \( T^0 \), it also holds that \( \pi_p(T^0 \triangle \text{m}_1) = \pi_p(T^0 \triangle \text{m}_2) \).

(O2) Consistency: For any two programs \( \text{m}_1, \text{m}_2 \), if \( \pi_p(\text{m}_1) = \pi_p(\text{m}_2) \), then \( \text{m}_1 \models p \iff \text{m}_2 \models p \).

O1 ensures that two programs that are observed to be the same will be interchangable within any larger program under the same observer. This trivially holds for example predicates \( \iota \rightarrow o \), and was the basis for the correctness proposition in [AGK13]—as long as the target language does not admit destructive updates. This assumption is not specific to our framework; it is required for any operational semantics-based form of OE.

Definition B.0.2. Given a set of observers \( \Pi = \{\pi_{p_1}, \ldots, \pi_{p_n}\} \) and a set of programs \( P \), we define the equivalence relation \( \equiv_{\Pi} \) as \( m_1 \equiv_{\Pi} m_2 \iff \forall \pi \in \Pi. \pi(m_1) = \pi(m_2) \).

We can now use this equivalence instead of \( \equiv_{I} \) in the definitions of \( \langle V \rangle^{OE} \) and \( oe(\Pi, P) = \{s(c) \mid c \in P/ \equiv_{\Pi}\} \), where \( \Pi = \{\pi_p \mid p \in S\} \). The enumeration detailed in Definition A.1.3 remains unchanged.

We now formalize correctness under an observational equivalence reduction. For the meantime, let us assume the specifications \( S \) are only input-output examples. Notice that the correctness standard is that of a partial specification.
In our proofs, we chose to use the following equivalent property instead of (O1) of Definition B.0.1:

(O1') For two programs, \( m^1 = t(m_1^1, \ldots, m_k^1) \) and \( m^2 = t(m_1^2, \ldots, m_k^2) \), if for all \( i = 1..k, \pi_p(m_i^1) = \pi_p(m_i^2) \), then also \( \pi_p(m^1) = \pi_p(m^2) \).

Equivalence follows trivially by induction on the structure of \( m^1, m^2 \).

Proof sketch of Theorem 8.1. We prove by induction on \( n \) that if there exists a program \( m \in \langle \mathcal{V} \rangle_{(n)} \) of \( \text{height}(m) = n \) with values \( v_i \) for the observers \( \pi_p(m) = v_i \), then there is a program \( m' \in \langle \mathcal{V} \rangle_{(n)}^{OE} \) (where \( \text{height}(m) \leq n \)) with the same values.

If \( m \) is of the form \( t(m_1, \ldots, m_k) \) and \( \text{height}(m) < n \) we can assume by induction hypothesis that there exists \( m_1' \ldots m_k' \in \langle \mathcal{V} \rangle_{(n-1)} \) such that \( \pi_{p_i}(m_j') = \pi_{p_i}(m_j) \). From the assumption of property (O1) in Definition B.0.1, \( \pi_p(m) = \pi_p(t(m_1, \ldots, m_k)) = \pi_p(t(m_1', \ldots, m_k')) \). Therefore the program \( t(m_1', \ldots, m_k') \) is constructed at step \( n \).

Then let \( m' = s(c) \) s.t. \( c \in \langle \mathcal{V} \rangle_{(n)}/ \equiv_{\Pi}, t(m_1', \ldots, m_k') \in c \), then by definition of \( \equiv_{\Pi}, \pi_{p_i}(m') = \pi_{p_i}(m) \). Since \( m \models S \), and since \( \equiv_{\Pi} \) preserves \( S \) ( (O2) in Definition B.0.1), then \( m' \models S \) as well. \( \square \)

To complete the correctness of OE, we must show that the observer for input-output examples is both interchangeable and consistent:

Proof. Assuming \( \text{extend} \) is a complete extension, both requirements of Definition B.0.1 are still satisfied by \( \pi_i \rightarrow_{T^0} o \):

(O1) Interchangeability: since \( \pi_p \) is computed over the same fixed set of valuations, \( \text{extend}(\iota, T^0) \), independent of the program, it holds in the same way as the naive input-output observer: when evaluating a larger program with some input, two smaller programs which are equivalent with that same input are interchangeable.

(O2) Consistency (if \( \pi_p(C_1) = \pi_p(C_2) \) then \( C_1 \models p \iff C_2 \models p \)): Let \( C_1 \) s.t. \( \llbracket T^0 \Delta C_1 \rrbracket(\iota) = o \) and let \( C_2 \) s.t. \( \pi_p(C_1) = \pi_p(C_2) \). The evaluation of \( T^0 \Delta C_1 \) on \( \iota \) contains some sequence of evaluations of \( C_1 \), with extended valuations \( \sigma_1, \sigma_2, \ldots, \sigma_n \). By Definition C.1.1 and the assumption that \( \text{extend} \) is a complete extension, \( \sigma_1 \ldots \sigma_n \in \text{extend}(\iota, T^0) \).

From our assumption of \( \pi_p(C_1) = \pi_p(C_2) \), we get that \( \bigwedge_{j=1..n} \llbracket C_1 \rrbracket(\sigma_j) = \llbracket C_2 \rrbracket(\sigma_j) \). On each evaluation of \( C_2 \) along the trace of evaluating \( T^0 \Delta C_2 \) (on \( \iota \)) it returns the same value as \( C_1 \) did while evaluating \( T^0 \Delta C_1 \). By induction on the execution trace, we get that \( \llbracket T^0 \Delta C_2 \rrbracket(\iota) = \llbracket T^0 \Delta C_1 \rrbracket(\iota) \) which, from the definition of \( \iota \rightarrow_{T^0} o \) either both produce \( o \) or neither produces \( o \). \( \square \)
Appendix C

Sketches and Higher-Order Functions

In this section, we tackle the problem of synthesizing a completion to a sketch. We focus on completions to sketches with higher-order functions. To do this, we extend the definitions from Appendix B to accommodate operating directly on the completion, rather than the full program.

We will first address the case where the vocabulary of the hole is identical to the vocabulary of the top-level expression, which holds as long as the hole is not in the scope of a binder (e.g., let, a lambda, etc.). We will then show how to treat cases where new variables are introduced into the scope, for which we will define a context extension.

Redefining the $\iota \rightarrow o$ predicate Since we are synthesizing a completion $C$, we would like our predicates to range over completions. We therefore redefine input-output predicates:

$$(\iota \rightarrow_{T^0} o)(C) \triangleq ([T^0 \Delta C](\iota) = o) \tag{C.1}$$

The redefined example predicates include a binding to $T^0$, since their required output is in relation to the top-level program, so they must evaluate and test $T^0 \Delta C$. In contrast, the observer must evaluate on the completion alone. Even though in a language like JavaScript we can assign $T^0 \Delta C$ and evaluate it, it does not mean we should. Type coercion during evaluation can cause very different values of the completion to evaluate the same after assignment, and since those are not interchangeable within a large completion, it would be incorrect to discard one of them.

E.g., consider the sketch $T^0 = ! (?)$, inputs $1$ and $-1$, and a vocabulary containing input and 1. The completions input and 1 evaluate to two different sets of values, but assigned to $T^0$ both evaluate to false. Discarding one means we deem them interchangeable, but e.g. input <= 0 is not equivalent to 1 <= 0, which violates the correctness of OE.

As long as there are no new variables in the context of $C$, we can simply compute the observer value as we did before. The following subsections will handle cases where the hole of the sketch is in an inner context.
C.1 New variables

A user-provided sketch \( T^0 \) can have a hole in an inner scope with additional variables (e.g. \( \text{input.reduce}((x,y) \mapsto ?) \)). This means that completions constructed for \( T^0 \) are constructed over an extended vocabulary \( V \cup \mathcal{V} \), where \( V = ext(T^0) \) contains new variables in the scope of the hole.

In order to evaluate them for observational equivalence, the input valuation of the example needs to be extended with values for the new variables.

**Definition C.1.1** (Context extension). For an input valuation \( \iota \) and a sketch tree \( T^0 \), we define the set of local variables in the scope of the hole of \( T^0 \) to be \( \mathcal{V} \). We define \( \Sigma_{C}(\iota) \) as the set of valuations under which \( C \) is evaluated in the execution of \( [T^0 \triangle C] (\iota) \), and define the function \( \text{extend}(\iota, T^0) \) that returns a set of input valuations as follows:

\[
\text{extend}(\iota, T^0) \subseteq \left\{ \{v \mapsto \sigma(v)\} \mid C \in (\mathcal{V} \cup \mathcal{V})^*, \sigma \in \Sigma_{C}(\iota) \right\}
\]

Ideally, the set inclusion is equality, such that \( \text{extend}(\iota, T^0) \) includes all values over all possible executions of any \( T^0 \triangle C \). We call this a **complete extension**.

That is, a complete extension includes every value the new variables might have in the execution of *any* completion. This is sometimes trivial: in the sketch \( \text{let } n = 42; ? \) and any input \( \iota \), the value of \( n \) will be the same (42) in every run. In the sketch \( \text{input.map}(e,i) \mapsto ? \), the possible values are determined by the elements of the array \( \text{input} \) and their index, but are still fixed for a given value of \( \text{input} \).

We define several useful complete extensions for JavaScript:

\[
\text{extend}(\iota, \text{let } x = \text{rhs}; ?) = \{ \iota \cup \{x \mapsto [\text{rhs}] (\iota)\} \}
\]

\[
\text{extend}(\iota, \text{lhs.map}(e,idx,arr) \mapsto ?) = \text{extend}(\iota, \text{lhs.filter}(e,idx,arr) \mapsto ?) = \{ \iota \cup \{arr \mapsto [\text{lhs}] (\iota), idx \mapsto j, e \mapsto [\text{lhs}[j]](\iota) \mid j \in 0:|\text{lhs}| \}
\]

In JavaScript, \( idx \) and \( arr \) can be omitted from the declaration of the function parameter, and in other languages they might not be available at all. However, the definition of \( \text{extend} \) stays the same, only omitting the dropped parameters from the final set, which means some elements may converge. This extension can be used for other higher-order functions that perform independent iterations on the input array’s elements, such as \( \text{groupBy} \), \( \text{maxBy} \), etc. We also define:

\[
\text{extend}(\iota, \text{lhs.sort}(a,b) \mapsto ?) = \{ \{a \mapsto [\text{lhs}[j]](\iota), b \mapsto [\text{lhs}[k]](\iota)\} \cup \iota \mid j,k \in 0:|\text{lhs}|, j \neq k \}
\]

Depending on the implementation of \( \text{sort} \) there may be a smaller complete extension, but since at worst this is an overapproximation, it will not cause us to lose programs.

Appendix D will discuss the problem of extensions for \( \text{reduce} \).

If scopes adding context variables are nested, \( \text{extend} \) is computed by first computing the extension of the outer scope, then for each member of the extension the process is repeated for the inner scope. E.g., for the sketch \( \text{input.map}(1 \mapsto 1.\text{filter}(e \mapsto ?)) \), the extension for the

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hole will be:

\[
I = \text{extend}(\iota, \text{input.map(l => ?)})
\]

\[
\text{extend}(\iota, \text{input.map(l => l.filter(e => ?)})) = \bigcup_{\iota' \in I} \text{extend}(\iota', \text{l.filter(e => ?)})
\]

### C.2 Extending the observers

In order for us to perform the OE-reduction, we redefine \(\pi_{\iota \rightarrow \tau_0 o}\) to include the extended context, so it can be applied to the completion. It is defined as follows:

**Definition C.2.1 (Input-output example observer).** For an input-output predicate \(p = (\iota \rightarrow \tau_0 o)\), we define \(\pi_p(m) = \{\sigma \mapsto [m](\sigma) \mid \sigma \in \text{extend}(\iota, \tau_0)\}\), yielding a set of valuations mapped to their execution results.

Applying the observer to the completion is necessary due to the problem shown above, made worse by higher-order functions that execute loops: in a call to `filter` the same coercion to `boolean` occurs, unifying any two completions that agree on the coerced result. Likewise, in `sort`, where a coercion to `integer` occurs and the array is sorted with that value as a comparator, programs are unified by the order of the array that they create rather than their value. The only case where this is guaranteed to succeed is `map`, as its result is essentially the execution trace of the inner loop. This loss of precision is demonstrated in the experiment in Section 9.2.

**Lemma C.2.2.** If `extend` is a complete extension, the observers in Definition C.2.1 have both properties required in Definition B.0.1, and Theorem 8.1 holds for input-output example observers.
Appendix D

The reduce Problem

In this section, we show the problem with creating complete extensions for the higher-order function \texttt{reduce}. While solutions exist for some specific cases, we hypothesize that the problem is hard in general. We refer here to \texttt{reduce}, but the problem is found in other similar functions: \texttt{reduceRight}, \texttt{fold}, \texttt{foldLeft}, \texttt{foldRight}, \texttt{accumulate}, and \texttt{aggregate}.

Recall that the function parameter (reducer) of a \texttt{reduce} function takes two parameters: the \textit{element} of the sequence currently being handled, and the \textit{accumulator} into which the computation is performed. The result of the function is passed it to the next iteration as the accumulator, and the final result is the result of \texttt{reduce}.

Assume the sketch tree has a hole within the scope of \texttt{reduce}, for example: \texttt{input.reduce((a,e) => ?,0)}. If some candidate completion \( C \) is assigned to this sketch, then \( C \) will be executed \(|input|\) times, with valuations \( \sigma_0 = \{ e \mapsto input[0], a \mapsto 0 \}, \sigma_1 = \{ e \mapsto input[1], a \mapsto [C](\sigma_0) \}, \sigma_2 = \{ e \mapsto input[2], a \mapsto [C](\sigma_1) \}, \) and so on.

From valuation \( \sigma_1 \) onward, the valuation is dependent on \( C \). Therefore, in order to make a complete extension we must consider the valuations for every program \( C \in V \cup V_M \) over every value for \( a \) that can be constructed. In typed languages this can be bound by every value of type of \( a \), whereas in a language like JavaScript, where \( a \) is dynamically typed, every value from every type may need to be considered.

It is enough that \( V \) contain string concatenation or list append for this set to be infinite, even when \( a \) is typed. It is also easy for the set to be finite but unfeasibly large.

D.1 Existing solutions, and why they fall short

We show several attempts at an extension for \texttt{reduce} and scenarios in which those extensions lead to discarding one of two programs that behave differently on the given inputs.

\textbf{Map-like extension} First, we show the problem with the previously defined extension for \texttt{map}.

Let us try to define:

\[
extend(\iota, \texttt{lhs.reduce((a,e) => ?, a_0)}) = \{ e \mapsto [\texttt{lhs}[j]](\iota), a \mapsto a_0 \} \cup \iota \mid j \in 0 : |\texttt{lhs}|
\]

This extension pairs each array element with the initial accumulator value. We now examine what would happen if \( V \) includes \texttt{?.concat(?)} and the array constructor \texttt{[]}.
We assume an input valuation \( \iota = \{ \text{input} \mapsto [1,2,3] \} \) and sketch \( \text{input.reduce}(a,e) \mapsto \? , [] \). Applying this extension, the extended context will now be:

\[
\{ \{ \text{input} \mapsto [1,2,3], a \mapsto [], e \mapsto 1 \}, \{ \text{input} \mapsto [1,2,3], a \mapsto [], e \mapsto 2 \}, \{ \text{input} \mapsto [1,2,3], a \mapsto [], e \mapsto 3 \} \}
\]

We now consider two programs of height 1 using this context: \( m^1 = a.\text{concat}(a) \) and \( m^2 = [e] \). For both of these programs, the outputs for the extended inputs will be \([1\), \([2\), and \([3\) respectively, which means that under this extension they are observationally equivalent, and one will be discarded. However, in order to test the predicate \([1,2,3] \mapsto [3]\), we test the completed programs \( \text{input.reduce}(a,e) \mapsto a.\text{concat}(a), [] \) and \( \text{input.reduce}(a,e) \mapsto [e], [] \) with the unextended inputs. Property (O2) of Definition B.0.1 requires equivalent programs to have the same predicate truth value. However, evaluating the completed programs, the outputs are \([1,2,3] \) and \([3]\), therefore \( m^1 \not\models p \) and \( m^2 \models p \). This means that discarding one at the inner context may cause us to miss a specification-satisfying (completed) program.

**Trace semantics** As a different strategy for OE instead of input extension, one may suggest using a variation on trace semantics: we use the evaluations of \( C \) within the loop in sequence as the value of the observer. This observer is executed outside \( \text{reduce} \) on the unextended input valuation.

Consider again the sketch \( \text{input.reduce}(a,e) \mapsto \? , [] \) and the input valuation \( \iota = \{ \text{input} \mapsto [1,2,3] \} \). Also consider two completions \( m^1 = a.\text{reverse()} \) and \( m^2 = [\].\text{reverse()} \). This observer associates both \( m^1 \) and \( m^2 \) with the value \([\], [], [], []\), so we expect them to be interchangeable within a larger expression (property (O1) of Definition B.0.1). However, once used in a larger AST, such as \( ? .\text{concat}(a) \), they no longer yield the same observer value. The observer value of \( a.\text{reverse()} .\text{concat}(a) \) will be \( ([1], [1,2], [2,1,3]) \), whereas \( [\].\text{reverse()} .\text{concat}(a) \) will yield \( ([1], [2], [3]) \).

Alternately, if we define the full execution trace as our observer, we will stop unifying different subprograms that yield the same value if their execution trace is different. E.g., we will no longer unify \( \text{1.reverse()}.\text{reverse()} \) with \( 1 \) or \( \text{1.sort()}.\text{sort()} \) with \( \text{1.sort()} \), as the longer execution is no longer equal to the shorter one.

### D.2 Reducers in other synthesizers

Previous synthesizers have tackled the problem of synthesizing a reducer for a \( \text{reduce} \) or \( \text{fold} \) higher-order sketch.

\( \lambda^2 \) [FCD15] hypothesizes a higher-order sketch and propagates the examples to create a new example set with which to synthesize the lambda body. Both sketch hypothesis and example propagation in \( \lambda^2 \) are based on semantic deduction rules specific to each function. For \( \text{fold} \) they can only deduce inner example pairs when the outer inputs cover two lists one element apart, e.g. \([1,2]\) and \([1,2,3]\) (for left fold), or \([2,3]\) and \([1,2,3]\) (for right fold). Like our work, assigning the completion and testing the original specification is necessary. Because deduced example pairs are not used for an equivalence reduction, the incompleteness of the deduction does not harm correctness. In cases where terms of deduction rules are not satisfied, \( \lambda^2 \) collapses to a
type-driven enumeration.

**Synquid** [PKSL16] expands on this idea by encoding the already-folded part of the list into the refinement type information of \texttt{foldr}. Due to the nature of the encoding, **Synquid** supports only \texttt{foldr}, and not \texttt{foldl}.

**(author?)** [FAB17] compute \texttt{fold} calls with an associative operator, for use in a parallelizing synthesizer, by reusing functors that occur in the sequential version. Likewise, (author?) [FN19] use \texttt{foldl} as part of the sketch for synthesizing a parallelizable program from a non-parallel loop implementation, but severe mathematical restrictions are placed on the synthesized component, which is not a general reducer.

**Big\(\lambda\)** [SA16] synthesizes reducers by performing a top-down type-directed enumeration of the grammar. Because **Big\(\lambda\)** searches for programs that satisfy additional properties, they enumerate every type-correct program in the space in order to avoid discarding a program that satisfies the property.

### D.3 Infeasibility hypothesis

We believe the general case of creating an extension for \texttt{reduce} that can be used for observational equivalence is impossible. The complete extension of most \texttt{reduce} calls over most vocabularies will be infinite. There \textit{might} be a sufficient finite extension for a given synthesis problem, but since infinitely-many values are dropped, there isn’t likely to be a generic one. One can think of it as a chicken-and-egg problem: we need the values for the accumulator in order to effectively enumerate the programs, but we need the programs in order to obtain the values.

Collecting programs and values at the same time also fails, as each program is tested for equivalence with only a subset of the necessary values. Every new program added to the space potentially discovers new values for the accumulator, which previous programs were not tested with. Future programs might be added to the space based on these values, but programs that were deemed equivalent and discarded cannot be regained without rescanning the unreduced space, and their loss impacts the construction of larger programs.

While this subsection provides our intuition for this belief, proving it is beyond the scope of this thesis and the general problem remains open.

### D.4 Special Cases ofReducers

We present two cases where we can handle reducers in a limited (and suboptimal) manner. These will involve either treating the synthesis process for the reducer separately, or working with an overapproximation of \texttt{extend} which will create spurious equivalence classes, but will at least not lose the target program. Such an extension will be correct, but because of the spurious inputs and spurious equivalence classes will be (much) less efficient.

**A well-typed accumulator of a small type** When writing a reducer for a statically typed language, if the type of the accumulator (also the return type of the reducer and the full call to
reduce) is of a small type, e.g., boolean, char, or an enum, we can create

\[
\text{extend}^+(\text{lhs.reduce}((a,e) \Rightarrow ?,a_0),\iota) = \\
\{ \iota \cup \{ e \mapsto [\text{lhs}[j]](\iota), a \mapsto v \} \mid j \in 0 : |\text{lhs}|, v \in [[\text{type}(a)]] \}
\]

This input extension now potentially covers more values of \(a\) than are feasible in \(\mathcal{V}\), but since all values possible are covered, this will at most create a finer partition into equivalence classes.

Notice that since \(a\) is statically typed, many intermediate programs enumerated will not be able to serve as the reducer, since they do not return a value of type \(\text{type}(a)\). However, these programs still need to be enumerated and split into equivalence classes in order to construct the final lambda that does return a result of \(\text{type}(a)\). Because of this, even though the extension has changed from \text{extend} to \text{extend}^+, \pi_p still ranges over all values of any type as in its original definition.

**An append-only accumulator** Consider the sketch \(T^0 = \text{lhs.reduce}((a,e) \Rightarrow a.\text{concat}(?) , [])\) where \(a\) may not appear within the hole. This limited reduce behaves as a combination mapper-filter (and in fact is a common way to implement map and filter in one call), which means the result of reduce is very nearly the execution trace of reduce.

Because \(a\) cannot be read from, this essentially becomes independent iterations of a loop, which means we can use the same extension as for \(\text{lhs.map}(e \Rightarrow ?)\), where \(a\) gets a value of \(\bot\), and execute the observer as usual.

For example, for the completion \(C = (e < 0 ? [-e] : [])\) and the input valuation \(\iota = \{ \text{input} \mapsto [-1,0,-2] \}\), our extension and observer value for the sketch \(T^0 = \text{input.reduce}((a,e) \Rightarrow a.\text{concat}(?),[])\) will be:

\[
\pi_{\text{input}}(C) = \\
\{ \text{input} \mapsto [-1,0,-2], e \mapsto -1, a \mapsto \bot \} \mapsto [1], \\
\{ \text{input} \mapsto [-1,0,-2], e \mapsto 0, a \mapsto \bot \} \mapsto [], \\
\{ \text{input} \mapsto [-1,0,-2], e \mapsto -2, a \mapsto \bot \} \mapsto [2] 
\]

There are likely other cases of reduce that can be handled specifically. Sadly, the lack of a generic solution for reduce makes synthesizer code trying to handle it cumbersome and inefficient.

It is also worth noting that this section has focused specifically on reduce, being a staple of functional programming, but it is easy to see that the same problem applies to all other manner of loops with dependent iterations.
Appendix E

Observing predicates, a formal approach

Section 8.2 only discussed the predicates exposed by the RESL Arena. However, since any decidable predicate can be used in this framework, we bring a few more examples. We also prove the correctness of the predicates shown in Section 8.2.

E.1 Additional predicates

In this subsection we suggest additional predicates, some of which we use in our benchmarks.

**Well typedness:** Accepts all well-typed programs. Holds for every program that compiles in a statically typed language. In other languages, limits the search to certain well-behaving programs via a set of typing rules. E.g., a rule that forces all lists to be homogeneous, or a rule that limits implicit type coercions. This is a semantic predicate.

**Exception predicates:** Denoted $i \rightarrow X$, determines whether an input leads to an exception type in a given set $X$.

**Subexpression data predicates:** The require and prohibit predicates defined in Chapter 5 are part of a meta-class of predicates that apply a parameter predicate to the execution results of subexpressions of a given expression.

$$sp_{dp,(\iota)}(m) = \exists T \subseteq m.dp(\|T\|)$$

Its negation is also useful, denoted $\overline{sp}_{dp,(\iota)}(m) = \neg sp_{dp,(\iota)}(m)$.

Using this definition, require$_{\tau}$ and prohibit$_{\tau}$ are the instantiations of $sp$ and $\overline{sp}$ with $dp(v) = (type(v) = \tau)$. There are also other interesting predicates, such as $dp(v) = (v = x)$, which requires and prohibits an intermediate execution value.

E.2 Observers

As we have seen in Section 8.2, we must distinguish between programs based on more than their output. Our definition of equivalence (Definition B.0.2) uses observers rather than simple
execution values, which means we can separate programs into more equivalence classes by
designing observers for the other predicates that are available to the user.

Section 8.2 defined the observers for exclude and retain. The purpose of the definition is to
preserve interchangeability (O1 in Definition B.0.1).

Interchangeability in exclude E.2.1. Let us assume two programs that are entirely equivalent for
a specification S that includes only input-output examples, \( m_1 = x \) and \( m_2 = 2 \). We now consider
an extended specification, \( S' = S \cup \{ \text{exclude}_{E_{\pi}} \} \). If we do not differentiate between \( m_1 \) and \( m_2 \)
on the basis of the exclude predicate, then \( m_1 \) and \( m_2 \) will be in the same equivalence class, and
\( m_2 \) may be picked as its representative. In this case, we will lose the ability to construct the
program \( y + x \) (or one equivalent to it).

Lemma E.2.2. The observers for retain and exclude have both properties required in Definition B.0.1, and Theorem 8.1 holds for specifications with exclude and retain.

Proof. Since both observers are defined the same, we show correctness of \( \pi_{\text{retain}} \), and correctness of
the \( \pi_{\text{exclude}} \) observer follows.

We use, \( \text{root}(T) \in V \) to denote the term represented by the AST node at the root of \( T \).

(01) Interchangeability: For \( p = \text{retain}_E \), we examine the three cases of values of \( \pi_p \): Given
\( k \) program pairs \( (m_1^1, m_1^2), \ldots, (m_k^1, m_k^2) \), if \( \pi_p(m_1^1) = \pi_p(m_2^1) \),

- If for every \( i \), \( \pi_p(m_1^i) = \pi_p(E_i) \), and \( t = \text{root}(E) \), then \( t(m_1^1, \ldots, m_k^1) =
  t(m_1^2, \ldots, m_k^2) = E \), and \( \pi_p(t(m_1^1, \ldots, m_k^1)) = \pi_p(t(m_1^2, \ldots, m_k^2)) = \pi_p(E) \) (or 1),
  and likewise, recursively for every subtree in \( E \).
- If for some \( i \), \( \pi_p(m_1^i) = 1 \), then both \( E \subseteq m_1^1 \) and \( E \subseteq m_1^2 \), and \( \pi_p(m_1^1) = \pi_p(m_2^1) = 1 \)
- In every other case, \( \pi_p(m_1^1) = \pi_p(m_2^1) = 0 \)

(02) Consistency (If \( \pi_p(C_1) = \pi_p(C_2) \) then \( C_1 \models p \iff C_2 \models p \): If \( \pi_p(C_1) = \pi_p(C_2) = 1 \),
then \( E \) was found somewhere along the way in both \( C_1 \) and \( C_2 \), so \( C_1 \models p \) and \( C_2 \models p \).
For every other value, \( E \) was found in neither, so \( C_1 \not\models p \) and \( C_2 \not\models p \). \( \square \)

Subexpression data observers We can define a generic observer for any subexpression data
predicates, which is a hybrid between the observer for input-output examples and the exclude
observer: \( \pi_{\text{sp}_{(dp,i)}}(m) = (\pi_{(dp,i)}(m), \pi_{\leftarrow}(m)) \). The observers for require and prohibit are
refinements of this, replacing the full execution value with the type of the current program, which
is sufficient for interchangeability and consistency in their case.

Lemma E.2.3. The general observer for subexpression data predicates has both properties
required in Definition B.0.1, and Theorem 8.1 holds for a specification with these predicates.

Correctness for \( \pi_{\text{sp}_{(dp,i)}}(m) \). (01) Interchangeability: Given \( k \) program pairs
\( (m_1^1, m_1^2), \ldots, (m_k^1, m_k^2) \), if \( \pi_p(m_1^1) = \pi_p(m_2^1) \), where \( p = \pi_{(dp,i)} \), for \( m_1^1 =
(t(m_1^1, \ldots, m_k^1), m_2^2 = t(m_1^2, \ldots, m_k^2) \), \( \pi_{\leftarrow}(m_1^1) = \pi_{\leftarrow}(m_2^1) \) from the correctness
proof of \( \pi_{\leftarrow} \). It remains to show that \( \pi_{(dp,i)}(m_1^1) = \pi_{(dp,i)}(m_2^1) \). If one of \( p(m_1^1) = p(m_2^1) \)

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Figure E.1: (a) The effect on enumeration of the negatively-stable predicate (NSP) $p_1$: once $p_1$ does not hold for $m_1$ it will not hold for any $m_i$ that has $m_1$ as a subtree, and (b) the non-effect on enumeration of $p_2$, a non-NSP.

is true, then $p(m_1) = p(m^2)$ is true. Otherwise, $p$ is false for every subtree of $m^1$ and $m^2$, so the truth value of $p$ depends only on the value of the root. Specifically, $p(m_1) \iff dp([[m^1]](i))$, and $p(m^2) \iff dp([[m^2]](i))$. Since $[[m^1]](i) = [[m^2]](i)$, from equality of the second component, then $p(m_1) = p(m^2)$. This means both components of $\pi_p$ are equal.

(O2) Consistency (If $\pi_p(C_1) = \pi_p(C_2)$ then $C_1 \models p \iff C_2 \models p$): this is trivially true because the result of $p$ is the first component of the observer value. \qed

E.3 Negatively-stable predicates

Creating additional equivalence classes means each level $\langle V \rangle_{OE}^{J_{h}}$ of the enumeration grows larger, as more programs are reserved at lower heights. Fortunately, in some cases we can discard programs from the enumeration altogether, never using them in larger programs. This requires a stability property of predicates with regard to tree composition:

**Definition E.3.1.** We say that a predicate $p$ is a negatively-stable predicate (NSP) i.f.f. for every tree $A$ s.t. $A \not\models p$, for every tree $T$ s.t. $A \subseteq T$, $T \not\models p$ (illustrated in Figure E.1).

Of the predicate families available in the RESL Arena, exclude and prohibit are negatively-stable, whereas examples, retain and require are not. The intuition for this was given in Section 8.2. Of the predicates introduced in the previous subsection, well typedness is negatively-stable, and exception predicates are not. Subexpression data predicates $sp_{(dp,i)}$ are not negatively-stable (they are positively stable: once a subexpression exists it will exist in larger expressions), and their negation, $\overline{sp}_{(dp,i)}$ is negatively-stable.

In the previous section, we incorporated the observers of all predicates into the equivalence relation. But if any of the predicates in $S$ are negatively-stable, we can make the enumeration more efficient by discarding programs as we enumerate, including fewer programs in each level of the enumeration. By not including in $\langle V \rangle_{(i)}^{OE}$ any programs that do not satisfy some NSP predicate $p \in S$, we reduce the number of programs that will be constructed in $\langle V \rangle_{(i+1)}^{OE}$. We are still guaranteeing correctness, as NSP means that if tree $T$ does not satisfy $p$, any programs constructed from $T$ will also not satisfy $p$ (illustrated in Figure E.1).

**Example E.3.2.** In the example where $S_2 = \{[7,8,7,3] \mapsto 6, exclude_2 \ast 2\}$, when $\langle V \rangle_{(1)}^{OE}$ is enumerated, the program $2 + 2$ is composed and tested for NSP in $S_2$, namely $exclude_2 \ast 2$. 

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Since $2 + 2 \not\equiv \text{exclude}_2 \ast 2$, it is removed from $\{\mathcal{V}\}_{OE}^{(1)}$. This is correct, as it does not satisfy $S_2$, and neither will any program composed from it, which will include $2 + 2$.

The desired input.length will be included in $\{\mathcal{V}\}_{OE}^{(1)}$ as the representative of the equivalence class of the observer values true for the exclude predicate and 4 for the example. The class of programs with observer values false and 4 no longer exists in the program space.

To leverage this, we define $\{\mathcal{V}\}_{OE}^{\tilde{OE}} = \{m \in \{\mathcal{V}\}_{OE} \mid m \models p \text{ for all NSP } p \in S\}$, i.e., an enumeration in which a program is discarded if it violates some NSP.

**Theorem E.1.** Given specification $S$ and a program $m \in \{\mathcal{V}\}_{OE}^{\tilde{OE}}$ such that $m \models S$, then $m \in \{\mathcal{V}\}_{OE}^{\tilde{OE}}$.

**Proof of Theorem E.1.** Notice that, while in Definition 8.2.1 we defined the range of $\pi_p$ as $0 \ldots \text{terms}(E)$, any program reached while enumerating the space where $\neg p(m)$ is removed from the enumeration, leaving only programs where $\pi_p(m) > 0$. This means that it is sufficient to define the observer $\pi_p'(m) \in 1 \ldots \text{terms}(E)$, defining only the cases where $p$ is true.

In fact, this modified definition is sufficient for any negatively-stable predicate unless it involves input data. For example, for prohibit, which has two components, the value of $p$ and the data observer, we can discard the boolean component (or keep it as the unit value, $\text{tt}$).

(Philosophically speaking, observational equivalence is defined over inputs deemed important by the user, and NSP that introduce inputs contribute “important inputs”, which we now wish to split equivalence classes based on. This is why observers of any predicates that introduce input data will inevitably include as a component the $e \rightarrow o$ observer (including sketching): even if the enumeration can be pruned based on them, cases where the predicate is true should create equivalence classes based on the output.)

By applying this transformation to all NSP, and leaving the other predicates intact, we obtain a new set of observers $\Pi'$. We only require that for every such new observer,

$$T_{1,2} \models p \Rightarrow \pi_p'(T_1) = \pi'(T_2) \iff \pi_p(T_1) = \pi_p(T_2) \quad (E.1)$$

This is trivial in any case where $\pi_p'(m) = \text{tt}$, for $\pi_p'(\text{dp}, o)$, this maintains equality on the second component of the original observer, and for exclude, this maintains equality for all values that are not 0.

**An NSP-optimized enumeration** To take advantage of NSP, we modify our enumeration. In definition Definition A.1.2 we replace the definition of $oe$, which reduces a set of programs in the course of the enumeration, with $\tilde{oe}(\Pi, N, P) = \{s(c) \mid c \in \{m \in P \mid m \models N\} / \equiv_{\Pi}\}$.

We define the optimized OE-reduction $\{\mathcal{V}\}_{OE}^{\tilde{OE}}$ by replacing $oe(I, \ldots)$ with $\tilde{oe}(\Pi', N, \ldots)$ such that $\Pi' = \{\pi_p \mid p \in S \setminus N\} \cup \{\pi_p' \mid p \in N\}$ where $N \subseteq S$ are the negatively-stable predicates in $S$.

To show correctness of this new reduction, we first prove the following lemma:

**Lemma E.3.3.** The new observer set $\Pi'$ preserves the equivalence class partition in the following way:

$$\left(\{m \in P \mid m \models N\} / \equiv_{\Pi'}\right) \subseteq \left(\mathcal{P} / \equiv_{\Pi}\right)$$

The equivalence class of some $x \in X$ under an equivalence relation $\equiv$ is denoted $[x]_\equiv$. 

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Proof. Let \( m \in \mathcal{P} \) be a program such that \( m \models N \). We denote its equivalence class under \( \equiv \Pi' \) to be \( c' = [m]_{\equiv \Pi'} \).

We prove that \( c' = [m]_{\equiv \Pi} \in \mathcal{P}/\equiv \Pi \).

Let \( m' \in \mathcal{P} \). If \( m' \not\models N \), then \( m' \notin c' \), as all members of \( c' \) satisfy \( N \), and also \( m' \notin [m]_{\equiv \Pi} \), because from (O2) of Definition B.0.1 if the truth values of a predicate are different, the \( \pi \) values of that predicate will also be different.

If \( m' \models N \), then \( m \equiv \Pi m' \iff m \equiv \Pi' m' \). Therefore, \( m' \in c' \iff m' \in [m]_{\equiv \Pi} \).

From the assumption, it follows that in particular \( m \models N \) where \( N \) are the negatively stable predicates in \( S \). From the definition of negatively-stable predicates, it also follows that for every \( T \subseteq m \), \( T \models N \).

We prove by induction on \( T \) that \( T \in \{\mathcal{V}\}^{\tilde{O}E}_{(h)} \) for every \( n \geq \text{height}(T) \).

Let \( T = t(T_1, \ldots, T_k) \) (for leaves, \( k = 0 \)) and let \( h = \text{height}(T) \). Since the heights of \( T_1, \ldots, T_k \) are at most \( h - 1 \), then by the induction hypothesis, \( T_1, \ldots, T_k \in \{\mathcal{V}\}^{\tilde{O}E}_{(h-1)} \).

We remind the reader that \( \{\mathcal{V}\}^{O} \) is defined using \( \Pi \), i.e., the observers defined in Appendix E, whereas \( \{\mathcal{V}\}^{\tilde{O}E} \) is defined using \( \Pi' \).

From the assumption, \( T \in \{\mathcal{V}\}^{O}_{(h)} \) since \( h \geq h \). Since \( T \) is in \( \{\mathcal{V}\}^{O}_{(h)} \), then \( \forall T' \in \{\mathcal{V}\}^{O}_{(h-1)} \). \( T \not\equiv \Pi T' \), i.e., \( T \) is in a new equivalence class of \( \equiv \Pi \). From Lemma E.3.3, it is also in a new equivalence class of \( \equiv \Pi' \).

Additionally, \( T \) was selected by representative selection in \( \tilde{O}E \) in order to be added to \( \{\mathcal{V}\}^{O}_{(h)} \).

Also from the assumption, \( T \models N \). This means that \( T \in \{m \in \mathcal{P} \mid m \models N \} \) in the definition of \( \tilde{O}E \). From Lemma E.3.3, the equivalence class \( [T]_{\equiv \Pi} = [T]_{\equiv \Pi'} = c \), therefore, since \( s(c) = T \), \( T \) will be selected as the representative by \( \tilde{O}E \).

Therefore, \( T \in \{\mathcal{V}\}^{O}_{(h)} \).

Finally, from the monotonicity of the definition, \( T \in \{\mathcal{V}\}^{\tilde{O}E}_{(n)} \) for every \( n \geq h \).

\( \{\mathcal{V}\}^{\tilde{O}E} \) represents the final result of this thesis, encompassing all the ideas presented, and is the reduction implemented in our experiments.
Appendix F

A selection of predicate benchmarks

In this section, we bring the task description and predicates used in several tasks shown in Table 9.1. The sketches for all tasks are ? (the empty sketch).

F.1  isUppercase

Task description:¹

Is the string uppercase?

Create a method is_upcase? to see whether the string is ALL CAPS. For example:

"c".is_upcase? == false
"C".is_upcase? == true
"hello I AM DONALD".is_upcase? == false
"HELLO I AM DONALD".is_upcase? == true
"ACSKLDJFJSKLDFJISKLDFJ".is_upcase? == false
"ACSKLDJFJSKLDFJISKLDFJ".is_upcase? == true

In this Kata, a string is said to be in ALL CAPS whenever it does not contain any lowercase letter so any string containing no letters at all is trivially considered to be in ALL CAPS.

Expected solution:

input === input.toUpperCase()

Example sets:

$\mathcal{E}^- = \{ 'cC' \rightarrow false, '' \rightarrow true \}$

$\mathcal{E} = \mathcal{E}^- \cup \{ 'C' \rightarrow true, 'CDE' \rightarrow true \}$

Solution for $\mathcal{E}^-$: ¹

input === ''

Negatively-stable predicates:

1. Exculde ''

¹https://www.codewars.com/kata/56cd44e1aa4ac7879200010b
2. No subexpression \((sp_{(dp,ι)})\) where \(ι = \{ input \mapsto 'cC' \}\) and \(dp(v) \triangleq type(v) = Number\)

**Non-negatively-stable predicates:**

1. Retain `input.toUpperCase()`

**F.2 keepHydrated**

**Task description:**

Keep Hydrated!

Nathan loves cycling.

Because Nathan knows it is important to stay hydrated, he drinks 0.5 litres of water per hour of cycling.

You get given the time in hours and you need to return the number of litres Nathan will drink, rounded to the smallest value.

For example:

- time = 3 \(\rightarrow\) litres = 1
- time = 6.7 \(\rightarrow\) litres = 3
- time = 11.8 \(\rightarrow\) litres = 5

**Expected solution:**

\[ \text{Math.trunc(input/2)} \]

**Example sets:**

\[ \mathcal{E}^- = \{3 \rightarrow 1.67 \rightarrow 3\} \]

\[ \mathcal{E} = \mathcal{E}^- \cup \{11.8 \rightarrow 5\} \]

**Solution for** \(\mathcal{E}^-\):

\[(input + '').length\]

**Negatively-stable predicates:**

1. Exclude 1

2. Exclude 100

**Non-negatively-stable predicates:**

1. Subexpression exists \((sp_{(dp,ι)})\) where \(ι = \{ input \mapsto 3 \}\) and \(dp \triangleq v = 1.5\)

---

2https://www.codewars.com/kata/582cb0224e56e068d800003c
F.3  isNegativeZero

Task description:³

Is It Negative Zero (-0)?

There exist two zeroes: +0 (or just 0) and -0.

Write a function that returns true if the input number is -0 and false otherwise (True and False for Python).

In JavaScript / TypeScript / Coffeescript the input will be a number.

In Python / Java / C / NASM / Haskell / the input will be a float.

Expected solution:

\[(1 / \text{input}) === -\text{Infinity}\]

Example sets:

\[E^- = \{-0 \rightarrow \text{true}, 3 \rightarrow \text{false}\}\]

\[E = E^- \cup \{0 \rightarrow \text{false}\}\]

Solution for \(E^-\):

\[
\text{input} === 0
\]

Negatively-stable predicates:

1. Exclude 0
2. Exclude 2

Non-negatively-stable predicates:

1. Retain \(1/\text{input}\)

³https://www.codewars.com/kata/5c5086287bc6600001c7589a
Appendix G

User Study Data

Here, we add to the data in Table 10.1 by summarizing the different operations performed by user study participants.

<table>
<thead>
<tr>
<th></th>
<th>retain</th>
<th>exclude</th>
<th>type constraints</th>
<th>holes</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>times used</td>
<td>(terms)</td>
<td>times used</td>
<td>times used (all)</td>
</tr>
<tr>
<td>ordered</td>
<td>avg: 0.6, med: 0, max: 2</td>
<td>avg: 5</td>
<td>avg: 0.4, med: 0, max: 2</td>
<td>avg: 0.4</td>
</tr>
<tr>
<td>sqdigit</td>
<td>avg: 0.2, med: 0, max: 1</td>
<td>avg: 11</td>
<td>avg: 0.0</td>
<td>avg: 0.0</td>
</tr>
<tr>
<td>title</td>
<td>avg: 0.8, med: 1, max: 2</td>
<td>avg: 9</td>
<td>avg: 3.2, med: 3, max: 8</td>
<td>avg: 1.8</td>
</tr>
<tr>
<td>divisible</td>
<td>avg: 0.6, med: 0, max: 3</td>
<td>avg: 3</td>
<td>avg: 0.6, med: 0, max: 3</td>
<td>avg: 0.4</td>
</tr>
</tbody>
</table>

Table G.1: Predicates used by user study participants.

Additionally, we break down the usage of require and prohibit:

And present the usage of examples in the different study setups:

We notice that RESL users and REDL users tested their work on more examples than
REPL users, because of the ease of doing so in the Arena. However, RESL users consistently used many more examples as part of the iterative synthesis process.
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ל-cmprוגה תוכניות מתאימות, א"כ ידיל תמר桼 היחסי טיעהל תמי הסיכים התאמות יטר בתוכנית השיתוף. מילון מרגולathon יقصير תמר桼 בוחב ישמו, א"כ עילב שלע ל MetroFramework תוכנית מתרגמה לכל. אלגוריתמים הסינתזה של RESL מילון בלתיים התרגשות בהקר. חומע מהות תוכניתו של התוכנית המקורית. תוספת בלתיים התרגשות בהקר למילון מאפרת תספור קרשיה בין הסביבה פיון מפלס. תוספת עמוד התוכניתו של התוכנית המקורית מאפרת בדלת הצלים בין הסביבה פיון המפלס. תוספת עמוד התוכניתו של התוכנית המקורית מאפרת בדלת הצלים בין הסביבה פיון המפלס.
"(Negatively Stable Predicatets)"


A text description of a graph or diagram is not available for this document.

Text excerpts:

- "Negatively Stable Predicatets"

Due to the lack of a clear graph or diagram, further details are not available.
תקציר

שיטת הניפוי היא שיטה נפוצה בקרב מתכנתים. בשיטה זו, המתכנת משליך את הפקודה הנדרשת מסביבה, ומשתמש בה בסביבה שלה.IFIC1يجון. מתכנת ה.imageView השיטה ישלב סינתזה של תוכנה עם התקבצויות בוטים בשפות תכנות ועם עוד. מטרת השיטה היא לבנות תוכנה אינטראקטיבית באמצעות הפקדות המאושרעות בהן họp슴

накоותי של התוכנה שורטコー. הסביבה המבוססת על התקבצויות מתאימה למתכנת במגוון פונקציות: python, javascript, scala ומאכלים נוספים. התוכנה מתאימה לתוך פענוח של פונקציות הסינון של התוכנה ובכך ניתן להשתמש בתוכנה במרוחק.

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נמקות של התוכנה שורטコー. הפקודה מיועדת לשימוש בתוכנה באמצעות פונקציות הסינון של התוכנה ובכך ניתן להשתמש בתוכנה במרוחק.

RESL

ניה מתכנת יישום של פונקציות הצריכה. השלב הראשון הוא שילוב של תוכנה עם תכונות אינטראקטיביות. התוכנה מועדת להיות ת miglior את התוכנה המבוססת על פונקציות הסינון של התוכנה ובכך ניתן להשתמש בתוכנה במרוחק.

RESL

מבין של תכונות ממקורות שונים. התוכנה מיועדת להיות ת miglior את התוכנה המבוססת על פונקציות הסינון של התוכנה ובכך ניתן לעקוב אחר התוכנה ולבנות מטרות ממקורות שונים. התוכנה מיועדת להיות ת miglior את התוכנה המבוססת על פונקציות הסינון של התוכנה ובכך ניתן לעקוב אחר התוכנה ולבנות מטרות ממקורות שונים.
המחקר בוצע בהנהלת של פרופסורSher Itzhaki, בפקולטה לת查詢יה והמחשב.

תודות

אני רצה להודות למנהלה שלCHA שחר צחק,
ולהוריו מאיר וחני בנאי,
לאמותי והורים עץ וני,
ולתקני ביתו ונחמה לוי.

הכרת תודה מסורה לסייעת על פרויקט מחקר זה.
סינתזה אינטראקטיבית בעורר מודל גרנולי

תоборот על מחקר

לש מולי חלבי של הזרת הפריטות
מנועים לעמוס במקוון המורחב

רופא פנאי

хожש לטנט הסכני - מכון טכנולוגי לישראל
סימן התח"פ חיפת מאי 2020
синתה אינטראקטיבית בעוזרת מודל גרגולי

רועי גבאי